

**NOTICE TO PROSPECTIVE DESIGN-BUILD PROPOSERS  
OF LEVEL 1 PREQUALIFICATION**

Subject to conditions prescribed by the University of California, Santa Barbara, responses to the University's prequalification documents for a Design-Build contract are sought from proposers for the following project:

PROJECT NAME: PAULEY TRACK RENOVATION  
PROJECT NO: FM160291P

**GENERAL**

The University's primary objective in utilizing the design build approach is to bring the best available integrated design and construction experience to this project. The University has determined that proposers who submit proposals on this project must be prequalified. Prequalified proposers will be required to satisfy the requirements set forth in the Level 1 Prequalification Questionnaire, including the possession of the following valid and current California contractor's license:

General Engineering  
*License Classification*

A  
*License Code*

**SUMMARY OF WORK**

**Background**

The artificial track located on the University of California, Santa Barbara campus was built circa 1975, but by 1995 the track was worn out and tree roots had created bulges and cracks in the track surface. The University was no longer able to host track meets although the track is still used for track practice. Despite two attempts to remedy the condition of the running surface, the track has continued to worsen.

**Project Description**

The project will reconstruct the track surface and field event areas bringing them into conformity with relevant competition standards, and making it suitable for competitive activity, which will include associated improvements such as compartment boxes for timing equipment, a concrete walkway and fence that will surround the exterior of the track for spectator circulation and separation, and a new LED lighting system to increase recreational opportunities for the campus population. Areas of the existing infield area will be restored with topsoil and seeding. Drainage will be improved with the installation of slot drains and swales, and irrigation adjusted to conform to the new configuration. A root barrier will also be installed to protect the facility from root intrusion.

As described, the current project will be delivered via a design-build approach. Accordingly, D.A. Hogan & Associates was retained by the University to provide limited programming and concept design services, and develop a set of design-build 'bridging' documents that will be issued by the University to prospective design-build contractors. The programming information and concept design developed by D.A. Hogan & Associates has been used in the formulation of a cost estimate to establish a maximum anticipated project cost relative to the University's project goals.

Based on the program and concept design, "bridging documents" will be compiled to describe the project design criteria. The purpose of the bridging documents is to provide enough information on project requirements and specifications, to enable design-build contractors bidding for the project to submit a

responsive proposal. The successful proposer will be contracted to complete the final design and construct the facility based on the design criteria described within the bridging documents. Estimated design-build project cost: \$2,200,000 - \$2,500,000

## **DESIGN-BUILD CONTRACTOR PREQUALIFICATION PROCEDURES**

The prequalification process will be conducted in two steps (or “Levels”) and will result in the selection of a number of prequalified finalists who will be issued proposal documents for this Project. Only Level I/ Level II prequalified proposers will be permitted to submit a Design-Build proposal. The technical proposals will be scored according to an established scoring system. The price will be divided by the score to determine a price per technical point. The prequalified proposer submitting the lowest price per technical point will be the apparent low proposer for the Project.

**Level I** will include the submittal of Level 1 Design-Build Contractor Prequalification Documents described in more detail below. After receipt of the prospective proposers’ completed Level 1 prequalification documents, the University will review and determine whether each firm passed or failed. Passing firms will be invited to interviews conducted by the University as part of the Level 2 evaluation and selection process.

A proposer who does not pass the Level 1 evaluation will be excluded from further consideration in the prequalification process and will not be permitted to participate in the Level II interviews.

**Level II** will include the Design-Build team interviews. Proposers will be notified whether or not they have been selected for Level II interviews. The results of the Level II interview will be separately scored based on an established rating system that will be issued by the University in the Announcement to Level 2 Prequalified Proposers, which will be issued prior to the scheduled interviews. Both prior to and after the Level II interviews, the University may issue requests for clarification and/or supplemental information from the participating firms.

## **LEVEL 1 PREQUALIFICATION SCHEDULE**

On February 12, 2016 the Level 1 Prequalification Documents will be posted on the UCSB Contracting Services website at <http://web.facilities.ucsb.edu/contracts/qualifications/> for review and/or download.

Firms may contact Croft Yjader, University Representative, at [croft.yjader@dcs.ucsb.edu](mailto:croft.yjader@dcs.ucsb.edu) for assistance or additional information.

Each prospective proposer must submit five (5) hard copies of each firm’s completed Level 1 Prequalification Documents and an electronic (.pdf) copy of its proposal that is stored on either a CD or thumb drive. The submittal package must be delivered to the University by **February 24, 2016 at 4:00 PM** at the following address:

Attn: Greg Moore, Associate Director  
University of California, Santa Barbara  
Facilities Management, Building 439, Room ‘E’  
Santa Barbara, CA 93106-1030

The University reserves the right to request, receive, and evaluate supplemental information after the above time and date at its sole discretion. The University reserves the right to reject any or all responses to Prequalification Documents and to waive non-material irregularities in any response received.

If a prospective proposer is determined by the University not to be prequalified, the prospective proposer may request a review by the University. Any such request must be received by the Facility within three (3) calendar days after receipt by the prospective proposer of the University determination. The decision resulting from such review is final and is not appealable within the University of California. Any person or entity not satisfied with the outcome of the prequalification must file a writ challenging the outcome within ten (10) calendar days from the date of the University's written notice regarding prequalification determination. Any assertion that the outcome of the prequalification process was improper will not be grounds for a proposal (bid) protest.

### **TENTATIVE LEVEL 2 PRE-QUALIFICATION SCHEDULE**

Following is the tentative schedule for the LEVEL II prequalification stage:

1. Level 2-Interview Notice and Request for Level 2 Submittals- March 4, 2016
2. Level 2- Mandatory Site Visit- March 10, 2016
3. Level 2-Proposers Submit Materials- March 10, 2016
4. Level 2- Mandatory Interviews- March 17, 2016

***Only Level 1/Level 2 prequalified firms will be permitted to submit a project proposal.***

All information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law. The successful proposer and its subcontractors will be required to follow the nondiscrimination requirements set forth in the Bidding/Proposal Documents and to pay prevailing wage at the location of the work. The work described in the contract is a public work subject to section 1771 of the California Labor Code.

No contractor or subcontractor, regardless of tier, may be listed on a proposal for, or engage in the performance of, any portion of this project, unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 and 1771.1. This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

### **AFFIRMATIVE ACTION STATEMENT**

Each candidate firm may be required to show evidence of its equal employment opportunity policy. Every effort will be made to ensure that all persons shall have equal access to contracts and other business opportunities with the University, regardless of: race; color; religion; sex; age; ancestry; national origin; sexual orientation; physical or mental disability; veteran's status; medical condition; genetic information; marital status; gender identity; pregnancy; service in the uniformed services; or citizenship within the limits imposed by law or University's policy.

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA  
University of California, Santa Barbara

*Advertised: 2/12/12*