

UNIVERSITY OF CALIFORNIA, SANTA BARBARA

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SANTA BARBARA • SANTA CRUZ

OFFICE OF DESIGN & CONSTRUCTION SERVICES and PHYSICAL FACILITIES

CONTRACTING SERVICES

Building 439
Santa Barbara, California 93106-1030
Telephone (805) 893-3356
Fax (805) 893-8592

SENT VIA: ☐ FAX ON THIS DATE
☐ HAND DELIVERY ON THIS DATE
☒ FEDERAL EXPRESS ON THIS DATE
☐ UNITED PARCEL SERVICE ON THIS DATE

HOLDERS OF PLANS AND SPECIFICATIONS:

Hatlen Theater – Lighting, Sound, Communications/Data
Project No. FM100111S/981710
Addendum No. Four

May 13, 2010

Enclosed is **ADDENDUM NO. FOUR** to the Construction Documents on the above-captioned project.

Bid date has been changed from Wednesday, May 19, 2010 at 2:30P.M. to **Wednesday, June 2, 2010**
at 2:30PM to be held at:

CONTRACTING SERVICES
Facilities Management, Bldg. 439,
Door #E, Reception Counter
University of California, Santa Barbara
Santa Barbara, CA 93106-1030.

Late arrivals shall be disqualified. Please allow time for unforeseen traffic delays, securing a parking permit and potential parking problems.



Anna Galanis
Director, Contracting Services

ADDENDUM NUMBER FOUR

to the

Construction Documents

May 13, 2010

GENERAL

The following changes, additions or deletions shall be made to the following document(s) as Indicated; all other conditions shall remain the same.

I. TABLE OF CONTENTS

Item No.

1. DELETE “Qualification Questionnaire”.

II. REVISED ADVERTISEMENT FOR BIDS

Item No.

1. REPLACE in it’s entirety with attached “Revised Advertisement for Bids, Revised per Addendum Four.”

III. SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

Item No.

1. REPLACE in it’s entirety with attached “Revised Supplementary Instructions to Bidders, Revised per Addendum Four.”

IV. PREQUALIFICATION QUESTIONNAIRE TAB

Item No.

1. DELETE in it's entirety, "Pre-Qualification Questionnaire", dated April 2010.

V. BID FORM

Item No.

1. REPLACE in it's entirety with attached "Revised Bid Form. Revised per Addendum Four." Any bids not submitted on "Revised Bid Form. Revised per Addendum Four", will be rejected.

Item No.

2. ADD Qualification Questionnaire – Addendum Four . Any bids submitted on "Revised Bid Form. Revised per Addendum Four" without attaching a completed "Qualification Questionnaire – Addendum Four, will be rejected.

END OF ADDENDUM NO. FOUR

REVISED ADVERTISEMENT FOR BIDS

REVISED PER ADDENDUM FOUR

Subject to conditions prescribed by the University of California, Santa Barbara, sealed bids for a Lump Sum Contract are invited for the following Work:

Project Name: Hatlen Theater - Lighting, Sound, Communications/Data
Upgrade

University Project No. FM100111S/981710

Facilities Management Building 439
University of California, Santa Barbara
Santa Barbara, California 93106

DESCRIPTION OF WORK: Demolition of existing and the furnishing and installation of new lighting control and distribution system including the dimmer rack, outlets, stage electrics, AP's, floor pocket interiors and wiring. Work includes all necessary conduits, wiring, cable trays, raceways, breakers and related accessories. Furnish and install new communications and data distribution outlets and cabling. Also included is abatement and decontamination in the Electrical Room and selective abatement during the course of the work.

BIDDER QUALIFICATIONS: To be allowed to submit a bid, Bidders must have the minimum experience set forth in the Supplementary Instructions to Bidders. Bidder shall submit their qualifications on the form provided by the University as an attachment to the Bid Form.

QUALIFICATION PROCEDURE:

Qualification documents will be available on our home page: <http://facilities.ucsb.edu/> under section: Contracts, Projects Available for Bid. Qualification documents are also included in Addendum Four, issued on May 14, 2010.

The evaluation is solely for the purpose of determining, in a timely manner, bidders who are deemed qualified for successful performance of the type of work included in this project. The contract will be awarded to the qualified bidder submitting the lowest responsive bid.

University of California reserves the right to reject any or all responses to Qualification Questionnaires and any or all bids and to waive non-material irregularities in any response or bid received. All information submitted for qualification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

Estimated Construction Cost: \$725,000.00

Bidding Documents will be available to Bidders on Friday, April 2, 2010 at 1:30 PM, and will be issued at:

Tri-Co Blue Print & Supply
513 Laguna Street
Santa Barbara, California 93101
Ph: (805) 966-1701 Fax: (805) 966-9484

FAX your request for Contract Documents to Lorena at Tri-Co (805) 966-9484.
Prospective bidders will receive One (1) set at no charge. Additional sets will require a non-refundable fee of the actual cost of reproduction per set of Bidding Documents.
Arrangements for payment and receipt of all sets should be made directly to Tri-Co.

NOTE: It is the sole responsibility of prime contractors to register with Tri-Co Blue Print & Supply or Contracting Services at Facilities Management, University of California, Santa Barbara to acknowledge receipt of the Bidding Documents for the Project.

A **mandatory** Pre-Bid Conference and **mandatory** Pre-Bid Job Walk will be conducted on Tuesday, April 13, 2010 beginning promptly at 1:30 PM and again on Friday, May 21, 2010 beginning promptly at 10:30 AM. (There is no grace period.)

Participants shall meet at Facilities Management, Building 594 – Learning Center
University of California, Santa Barbara
Santa Barbara, California

Only bidders who participate in both the Conference and the Job Walk in their entirety will be allowed to bid on the Project as prime contractors. Bidders who attended the first Pre-Bid Conference on April 13, 2010 do not need to attend the second Pre-Bid Conference on May 21, 2010.

Please note: Bidders are advised that there may be traffic congestion and parking may be difficult. Bidders should allow ample time to drive to the above location in heavy traffic, find a parking space, walk to the building, and arrive in the designated Meeting Room prior to the required time. Any prospective Bidder arriving after the above designated starting time shall be disqualified from submitting a bid for this project.

For further information, contact University's Representative George Levinthal at 805/893-2661 x 2205.

Bid Deadline: Sealed bids must be received on or before 2:30 P.M. on Wednesday, June 2, 2010

Sealed Bids will be received only at: Contracting Services
Facilities Management, Building #439
Door #E, Reception Counter
University of California, Santa Barbara
Santa Barbara, California 93106-1030

Bid Security in the amount of 10% of the Lump Sum Base Bid shall accompany each Bid. The Surety issuing the Bid Bond shall be, on the Bid Deadline, an admitted surety insurer (as defined in California Code of Civil Procedure Section 995.120).

The successful Bidder and its Subcontractors will be required to follow the nondiscrimination requirements set forth in the Bidding Documents and to pay prevailing wage rates at the location of the Work.

The successful Bidder will be required to have the following California current and active contractor's license at the time of submission of the Bid:

_____ Electrical License	_____ C-10 License Code
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Every effort will be made to ensure that all persons have equal access to contracts and other business opportunities with the University within the limits imposed by law or University policy. Each Bidder may be required to show evidence of its equal employment opportunity policy.

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

5/17/10

Please visit our website at <http://facilities.ucsb.edu>

REVISED SUPPLEMENTARY INSTRUCTIONS TO BIDDERSRevised Per Addendum Four

1. Contract Time: One Hundred (100) calendar days.
2. Requests for clarification or interpretation of the Bidding Documents shall be addressed only to the University Representative:

George Levinthal
University of California
Santa Barbara, CA 93106-1030
Telephone: 805/893-2661 x 2205 FAX: 805/893-4493

3. A **mandatory** Pre-Bid Conference and **mandatory** Pre-Bid Job Walk will be conducted on Tuesday, April 13, 2010 beginning promptly at 1:30 PM and again on Friday, May 21, 2010 beginning promptly at 10:30 AM. (There is no grace period.)
Participants shall meet at Facilities Management, Building 594 – Learning Center
University of California, Santa Barbara
Santa Barbara, California

Only bidders who participate in both the Conference and the Job Walk in their entirety will be allowed to bid on the Project as prime contractors. Bidders who attended the first Pre-Bid Conference on April 13, 2010 do not need to attend the second Pre-Bid Conference on May 21, 2010.

Please note that any prospective Bidder arriving after the above-designated starting time shall be disqualified from submitting a bid for this project.

4. Bids will be received on or before the Bid Deadline: 2:30 P.M., Wednesday, June 2, 2010, and only at:

Contracting Services
Facilities Management, Building 439
Door E, Reception Counter
University of California, Santa Barbara
Santa Barbara, California 93106-1030

5. Bids will be opened at:

Facilities Management
Building 439
University of California, Santa Barbara
Santa Barbara, California 93106-1030

6. Contractor will be assessed as liquidated damages the sum of One Thousand Dollars (\$1000) for each day the Work remains incomplete beyond the expiration of the Contract Time.
After Substantial Completion, the rate for liquidated damages shall be reduced to the sum of \$500 per day.

See Article 5 of the Agreement for detailed requirements.

7. INSTRUCTIONS TO BIDDERS (ARTICLE 3 - "BIDDING DOCUMENTS"):

Amend paragraph 3.4.1 to read as follows:

"Each Bidder shall list in the Bid Form all first-tier Subcontractors that will perform work, labor or render such services as defined in Article 9 of the Bid Form. The Bid Form contains spaces for the following information when listing Subcontractors: (1) portion of the Work; (2) name of Subcontractor; (3) city of Subcontractor's business location; and (4) License No. of Subcontractor. Failure to list, on the Bid Form, any one of the first three (3) items set forth above will result in the University treating the Bid as if no Subcontractor was listed for the Work Activity and Bidder will thereby represent to University that Bidder agrees that it is fully qualified to perform that portion of the Work and shall perform that Work."

8. INSTRUCTIONS TO BIDDERS (ARTICLE 5- "BIDDING PROCEDURES"):

A. Amend paragraph 5.4.4 to read as follows:

"Bids may not be modified, withdrawn, or canceled within 90 days after the Bid Deadline."

9. INSTRUCTIONS TO BIDDERS (ARTICLE 6- "CONSIDERATION OF BIDS"):

A. Amend paragraph 6.3.3 to read as follows:

"University will determine the low Bidder on the basis of the sum of the Lump Sum Base Bid plus all Unit Prices multiplied by their respective Estimated Quantities as stated in the Bid Form, if any, plus the daily rate for compensable delay multiplied by the "multiplier" as stated in the Bid Form, plus the amount of all Alternates selected and accepted in pre-determined order provided that the sum of Lump Sum Base Bid, plus all unit prices multiplied by the respective estimated quantity listed, if any, plus the daily rate for compensable delay multiplied by the "multiplier" listed, plus accepted Alternates does not exceed the Project's budget. If Alternates(s) are deductive, University will continue to select and accept Alternate(s), if any, until and only until said sum is within Project's budget. The Contract Sum will be the sum of the Lump Sum Base Bid and the additive or deductive amounts for all Alternates that University has selected to be included in the Contract Sum as of the time of award.
Pre-determined order: Alternate One."

10. INSTRUCTIONS TO BIDDERS (ARTICLE 6- "CONSIDERATION OF BIDS"):

A. Amend paragraph 6.3.5, change first sentence to read as follows:

"University will select the apparent lowest responsive and responsible Bidder and notify such Bidder on University's form within 80 days after the Bid Deadline or reject all Bids".

11. INSTRUCTIONS TO BIDDERS (ARTICLE 6- "CONSIDERATION OF BIDS"):

A. Amend paragraph 6.3.5 to read as follows:

- ".1 **Two** originals of the Agreement signed by Bidder."
- ".2 **Two** originals of the Payment Bond."
- ".3 **Two** originals of the Performance Bond."

B. Amend paragraph 6.3.7 to read as follows:

" 6.3.7 If Bidder submits two originals of the signed Agreement and all other items required to be submitted to University within 10 days after receipt of notice of selection as the apparent lowest responsible Bidder, and if all such items comply with the requirements of the Bidding Documents and are acceptable to University, University will award the Contract to Bidder by signing the Agreement and returning a signed copy of the Agreement to Bidder."

12 **BIDDER QUALIFICATIONS:** To be allowed to submit a bid, Bidders must have the minimum experience set forth in the Qualification Questionnaire – Addendum Four. Bidder shall submit their -qualifications on the form provided by the University as an attachment to the Bid Form. The Bidder shall provide a minimum of three (3) comparable projects as described in the Qualification Questionnaire – Addendum Four

13. **PARKING OF CONTRACTOR'S AND EMPLOYEE'S VEHICLES:**

The applicable parking fees shall be paid by the Contractor for each space. Parking permits shall be obtained from the Parking Services Office. The Contractor shall fence or barricade assigned areas to designate the area to be used for construction personnel parking (where/if applicable). The Contractor may park material delivery vehicles at or near the buildings as required to handle removed or new materials. Vehicles shall not be parked where they will block or interfere with normal pedestrian or vehicular traffic. Parking fees are subject to change.

Personal vehicles of Contractor's employees shall not be parked in established parking lots or space without payment of established parking fees. Vehicles without proper parking passes or Contractor's temporary passes will be issued tickets by University parking service. Park in assigned areas only.

REVISED BID FORMRevised per Addendum Four

FOR: Hatlen Theater - Lighting, Sound, Communications/Data Upgrade

FM100111S/981710

UNIVERSITY OF CALIFORNIA
SANTA BARBARA
SANTA BARBARA, CALIFORNIA

April 2010

BID TO: University of California, Santa Barbara
Facilities Management, Building 439
Door E, Reception Counter
Santa Barbara, CA 93106
(805)893-3298

BID FROM:

(Name of Bidder)

(Address)

(City)

(State)

(Zip)

(Telephone Number)

(Fax Number)

(Email Address)

DATE BID SUBMITTED

(Date)

Note: All portions of this Bid Form must be completed and the Bid Form must be signed before the Bid is submitted. Failure to do so may result in the BID being rejected as non-responsive.

1.0 BIDDER'S REPRESENTATIONS

Bidder, represents that a) Bidder and all Subcontractors, regardless of tier, has the appropriate current and active Contractor's licenses required by the State of California and the Bidding Documents; b) it has carefully read and examined the Bidding Documents for the proposed Work on this Project; c) it has examined the site of the proposed Work and all Information Available to Bidders; d) it has become familiar with all the conditions related to the proposed Work, including the availability of labor, materials, and equipment. Bidder hereby offers to furnish all labor, materials, equipment, tools, transportation, and services necessary to complete the proposed Work on this Project in accordance with the Contract Documents for the sums quoted. Bidder further agrees that it will not withdraw its Bid within 90 days after the Bid Deadline, and that, if it is selected as the apparent lowest responsive and responsible Bidder, that it will, within 10 days after receipt of notice of selection, sign and deliver to University the Agreement in triplicate and furnish to University all items required by the Bidding Documents. If awarded the Contract, Bidder agrees to complete the proposed Work within One Hundred (100) calendar days after the date of commencement specified in the Notice to Proceed.

2.0 ADDENDA

Bidder acknowledges that it is Bidder's responsibility to ascertain whether any Addenda have been issued and if so, to obtain copies of such Addenda from University's facility at the appropriate address stated on Page 1 of this Bid Form. Bidder therefore agrees to be bound by all Addenda that has been issued for this Bid.

3.0 NOT USED**4.0 LUMP SUM BASE BID**

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(Place Figures in appropriate boxes)

5.0 SELECTION OF APPARENT LOW BIDDER

Refer to the Instructions to Bidders for selection of apparent low bidder.

6.0 NOT USED

7.0 DAILY RATE OF COMPENSATION FOR COMPENSABLE DELAYS (Used As Basis For Award)

Bidder shall determine and provide below the daily rate of compensation for any Compensable Delay caused by University at any time during the performance of the Work:

\$, . x 10 MULTIPLIER

(Place Amount in Figures in appropriate boxes)

University will perform the extension of the daily rate times the multiplier.

The daily rate shown above will be the total amount of Contractor entitlement for each day of Compensable Delay caused by University at any time during the performance of the Work and shall constitute payment in full for all delay costs, direct or indirect (including, without limitation, compensation for all extended home office overhead and extended general conditions), of the Contractor and all subcontractors, suppliers, persons, and entities under or claiming through Contractor on the Project. The number of days of Compensable Delay shown as a "multiplier" above is not intended as an estimate of the number of days of Compensable Delay anticipated by the University. The University will pay the daily rate of compensation only for the actual number of days of Compensable Delay, as defined in the General Conditions; the actual number of days of Compensable Delay may be greater or lesser than the "multiplier" shown above.

8.0 ALTERNATES

In order for a Bid to be responsive, Bidder must submit an additive bid, a deductive bid, or a "no change" bid, for each Alternate listed below. Bidder shall mark the additive, deductive, or "no change" box for each Alternate. The failure to do so shall result in the Bid being rejected as non-responsive. The failure to quote an amount, unless the bidder marks the "no change" box, will result in the bid being rejected as non-responsive.

The Contract Time will change by the number of days, if any, specified for each accepted Alternate.

Alternate No. 1

Description: Delete the work described from Scope of Work to Furnish and install communications and data system,

as specified in Section 01100, Alternates
(Alternate Specification Section Number)

Bid for Alternate No. 1

Indicate by marking only **ONE** of the two boxes ("Deduct," or "No Change") and state the amount, if "Deduct" is selected, by placing figures in the corresponding boxes.

☐ Deduct \$, .

☐ No Change Bidder will perform alternate without change to Contract Sum.

No extension of time will be granted if this Alternate is accepted;

University reserves the right to accept this Alternate(s) for 30 calendar days after the date University signs the Agreement.

Post Award - Alternate No. 2Description: Furnish and install specifically noted communications and data systemas specified in Section 01100, Alternates

(Alternate Specification Section Number)

Bid for Alternate No. 2

Indicate by marking only **ONE** of the three boxes ("Add," "Deduct," or "No Change") and state the amount, if "Add" or "Deduct" are selected, by placing figures in the corresponding boxes.

<input type="checkbox"/>	<u>Add</u>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	,	<input type="text"/>	<input type="text"/>	<input type="text"/>	.	<input type="text"/>	<input type="text"/>
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<input type="checkbox"/>	<u>Deduct</u>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	,	<input type="text"/>	<input type="text"/>	<input type="text"/>	.	<input type="text"/>	<input type="text"/>
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<input type="checkbox"/>	<u>No Change</u>	Bidder will perform alternate without change to Contract Sum.
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No extension of time will be granted if this Alternate is accepted;

University reserves the right to accept this Alternate(s) for 90 calendar days after the date University signs the Agreement.

The information below must be provided for all changes in first-tier Subcontractors if University selects Alternates. List changes in Subcontractors only for those portions of the Work valued in excess of 1/2 of 1% of Bidder's Total Bid.

[illegible]

(Note: Add additional pages if required.)

11.0 BIDDER INFORMATION

TYPE OF ORGANIZATION:

(Corporation, Partnership, Individual, Joint Venture, etc.)

- IF A CORPORATION, THE CORPORATION IS ORGANIZED UNDER THE LAWS OF THE STATE OF _____
_____.

NAME OF PRESIDENT OF THE CORPORATION:

(Insert Name)

NAME OF SECRETARY OF THE CORPORATION:

(Insert Name)

- IF A PARTNERSHIP, NAMES OF ALL GENERAL PARTNERS:

(Insert Names)

CALIFORNIA CONTRACTORS LICENSE(S):

(Classification)

(License Number)

(Expiration Date)

(For Joint Venture, list Joint Venture's license and licenses for all Joint Venture partners.)

EMPLOYER IDENTIFICATION NUMBER (EIN):**12.0 REQUIRED COMPLETED ATTACHMENTS**

The following documents are submitted with and made a condition of this Bid:

1. Bid Security in the form of _____
(Bid Bond or Certified Check)
2. Qualification Questionnaire – Addendum Four

13.0 DECLARATION

I, _____, hereby declare that I am
(Printed Name)

the _____ of _____
(Title) (Name of Bidder)

submitting this Bid Form; that I am duly authorized to execute this Bid Form on behalf of Bidder;
and that all information set forth in this Bid Form and all attachments hereto are, to the best of my
knowledge, true, accurate, and complete as of its submission date.

I declare, under penalty of perjury, that the foregoing is true and correct and that this declaration
was

executed at: _____
(Name of City if within a City, otherwise Name of County)

in the State of _____,

on _____
(Date)

(Signature)

BID BOND

KNOW ALL PERSONS BY THESE PRESENTS:

That we, _____,

as Principal, and _____, as Surety, are held and firmly bound unto THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, hereinafter called THE REGENTS, in the sum of ten percent (10%) of the Lump Sum Base Bid amount for payment of which in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THE ABOVE OBLIGATION IS SUCH THAT, WHEREAS, Principal has submitted a Bid for the work described as follows:

Hatlen Theater - Lighting, Sound, Communications/Data UpgradeFM100111S/981710

NOW, THEREFORE, if Principal shall not withdraw said Bid within the time period specified after the Bid Deadline, as defined in the Bidding Documents, or within ninety (90) days after the Bid Deadline if no time period be specified, and, if selected as the apparent lowest responsible Bidder, Principal shall, within the time period specified in the Bidding Documents, do the following:

- (1) Enter into a written agreement, in the prescribed form, in accordance with the Bid.
- (2) File two bonds with THE REGENTS, one to guarantee faithful performance and the other to guarantee payment for labor and materials, as required by the Bidding Documents.
- (3) Furnish certificates of insurance and all other items as required by the Bidding Documents.

In the event of the withdrawal of said Bid within the time period specified, or within ninety (90) days if no time period be specified, or the disqualification of said Bid due to failure of Principal to enter into such agreement and furnish such bonds, certificates of insurance, and all other items as required by the Bidding Documents, if Principal shall pay to THE REGENTS an amount equal to the difference, not to exceed the amount hereof, between the amount specified in said Bid and such larger amount for which THE REGENTS procure the required work covered by said Bid, if the latter be in excess of the former, then this obligation shall be null and void, otherwise to remain in full force and effect.

In the event suit is brought upon this bond by THE REGENTS, Surety shall pay reasonable attorneys' fees and costs incurred by THE REGENTS in such suit.

IN WITNESS WHEREOF, we have hereunto set our hands this ____ day of _____, 20

PrincipalSurety

By: _____

By: _____

Title: _____

Title: _____

Address for Notices:

NOTE: Notary acknowledgement for Surety and Surety's Power of Attorney must be attached.



UNIVERSITY OF CALIFORNIA, SANTA BARBARA

THIS COMPLETED FORM MUST BE SUBMITTED WITH THE BID

HATLEN THEATER LIGHTING, SOUND AND COMMUNICATION/DATA
UPGRADE PROJECT

PROJECT NO. FM100111S/981710/077-28

QUALIFICATION QUESTIONNAIRE – ADDENDUM FOUR

As used herein, the term "entity" means the prospective Contractor submitting this Qualification Questionnaire regardless of whether the entity is an individual company, joint venture, or partnership. Please note that the term "prospective Contractor" may sometimes be used interchangeably with the term "entity" or "bidder".

Each prospective Contractor must have a current and active California contractor's license at the time of the qualification and must submit this Qualification Questionnaire with all portions completed, including any required attachments.

QUALIFICATION DECLARATION

I, _____ (Printed Name)
hereby declare that I am the _____ (Title)
of _____ (Name of Entity)

submitting this Qualification Questionnaire; that I am duly authorized to sign this Qualification Questionnaire on behalf of the above named entity; and that all information set forth in this Qualification Questionnaire and all attachments hereto are, to the best of my knowledge, true, accurate and complete as of its submission date.

The undersigned declares under penalty of perjury that all of the Qualification information submitted with this form is true and correct and that this declaration was executed in:

_____ (County), California, on _____ (Date).

(Signature)

(Printed Name)

(Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail Address)



UNIVERSITY OF CALIFORNIA, SANTA BARBARA

Each prospective Contractor must answer all of the following questions and provide all requested information. Any prospective Contractor failing to do so will be deemed to be not responsive and not qualified with respect to this Qualification. All contractors that have submitted a Qualification Questionnaire will be notified in writing of whether or not they have successfully achieved Qualification status. Prospective Contractors that affirmatively respond (i.e. answer YES) to all questions, submit all required information and supporting data, and are determined to have accurately responded to the questions will be qualified.

The Project Data Sheets must be fully completed, but answering "NO" to a question contained solely in a Project Data Sheet (other than those questions that refer specifically to the Construction Experience required for a comparable project) shall not necessarily result in failure to achieve qualification status. Only those Contractors that have been determined to be qualified and to have been determined to be responsible contractors will be eligible to submit a bid for this Project. In addition, prospective Contractor must list accurate names and telephone numbers of applicable contact references. If University is unable, after reasonable efforts, to confirm the past project information submitted by prospective Contractor, said project will not be accepted as a qualifying project.

If the prospective Contractor is determined by the University not to be qualified the prospective Contractor may request a review by the Facility. Any such request must be received by the Facility within 3 calendar days after receipt by the prospective Contractor of the determination. The decision resulting from such review is final and is not appealable within the University of California. Any person or entity not satisfied with the outcome of the qualification must file a writ challenging the outcome within 10 calendar days from the date of the University's written notice regarding qualification determination. Any assertion that the outcome of the qualification process was improper will not be a ground for a bid protest.

All information submitted for qualification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS.

NOTE: Where a time period is given, such as the last five (5 years), the period is to be measured backwards from the date this Qualification Questionnaire is required to be submitted.



1. CONSTRUCTION EXPERIENCE (IN COMPARABLE PROJECTS)

- A. To be allowed to submit a Bid, Bidders must have the minimum experience of having successfully completed three (3) comparable projects as follows :
1. Two (2) Comparable Projects with ALL the following characteristics :
 - a. A minimum overall construction cost of \$500,000.00 ; AND
 - b. Was fully completed by Bidder (either as the prime contractor or subcontractor) within the last seven (7) years. "Fully completed" shall be defined as Bidder having completed all work, including punch list, and submitted all required close-out documentation to project owner ; AND
 - c. Work involved the installation of multiple ETC Sensor Dimmer Racks ; AND
 - d. Work was done in a Performing Arts Venue ; AND
 - e. Work was in a venue with multiple flying electrical raceways ; AND
 - f. Work included renovation or replacement of a theatrical dimmer system; AND
 - g. Work, of which one (1) was done in a proscenium style theater
 2. One (1) Comparable Project with ALL the following characteristics :
 - a. A minimum overall construction cost of \$500,000.00 ; AND
 - b. Was fully completed by Bidder (either as the prime contractor or subcontractor) within the last seven (7) years. "Fully completed" shall be defined as Bidder having completed all work, including punch list, and submitted all required close-out documentation to project owner ; AND
 - c. Work involved the installation of multiple ETC Sensor Dimmer Racks ; AND
 - d. Work included renovation or replacement or new installation of a dimmer system

(NOTE: If Bidder is a joint venture, proof of experience must be submitted either for the joint venture entity itself, or each of the joint venture partners. Joint venture Bids will only be considered if either the joint venture entity itself or each joint venture partner has had the above specified experience.)

Provide Project Data Sheets for Project No. 1, Project No. 2 and Project No. 3 on forms located at the end of this Qualification Questionnaire for each comparable project submitted as evidence of the entity's experience. Submit not more or less than the number of Project Data Sheets corresponding to the required number of comparable projects listed above.

Project Data Sheets: For each project submitted as evidence of the entity's construction expertise



UNIVERSITY OF CALIFORNIA, SANTA BARBARA

Use the Project Data Sheets provided. Make additional copies as required for each project submitted.



2. DISCIPLINARY MEASURES HISTORY

- a. Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) HAS NOT been disqualified or otherwise barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, school district) within the last seven (7) years?

☐ YES ☐ NO

3. FALSE CLAIMS HISTORY

- a. Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) HAS NOT been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System, school district) within the last seven (7) years.

☐ YES ☐ NO

4. TERMINATION

- a. Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) HAS NOT been terminated for cause by an Owner after construction commenced within the last seven (7) years.

☐ YES ☐ NO



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PROJECT 1 AND PROJECT 2

PROJECT DATA SHEET

(A separate sheet must be prepared for each project submitted)

Complete and submit the following Project Data Sheet for each comparable project submitted as evidence of the entity's experience. Do not use any other form other than this Project Data Sheet.

The Project Data Sheet must be fully completed, but answering "NO" to a question contained solely in an Project Data Sheet (other than those questions that refer specifically to the Construction Experience required for a comparable project) shall not necessarily result in failure to achieve Qualification status. Only those Contractors that have been determined to be prequalified and to have been determined to be responsible contractors will be eligible to submit a bid for this Project.

1. Project Name: _____
2. Project Location (including full address, if any):

City: _____ State: _____ Zip: _____
3. Project Description: _____
4. Business name of entity which constructed this project:

 - 4a. Did your entity act as the Prime contractor during the entire project?
☐ YES ☐ NO
 - 4b. Did your entity act as the Subcontractor during the entire project?
☐ YES ☐ NO
5. Did the project include:
 - (a) A minimum overall construction cost of \$500,000.00?
☐ YES ☐ NO
 - (a1.) Cost at Bid: \$ _____
 - (a2.) Final Contract Amount: \$ _____
 - (b) Was fully completed by Bidder (either as the prime contractor or subcontractor) within the last seven (7) years. "Fully completed" shall be defined as Bidder having completed all work, including punch list, and submitted all required close-out documentation to project owner.
☐ YES ☐ NO
 - (c) Work involved the installation of multiple ETC Sensor Dimmer Racks?
☐ YES ☐ NO



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(d) Work was done in a Performing Arts venue?

☐ YES ☐ NO

(e) Work was in a venue with multiple flying electrical raceways?

☐ YES ☐ NO

(f) Work included renovation or replacement of a theatrical dimmer system?

☐ YES ☐ NO

(g) Work was done in a proscenium style theater?

☐ YES ☐ NO

If No, describe the project: _____

6. Date construction contract was completed: _____

7. Project Owner Team and References:

a. Project Owner Name: _____

b. Project Owner Contact: _____ Title: _____

c. Project Owner Address: _____

d. City: _____ State & Zip code: _____

e. Telephone: _____ Fax: _____

f. E-mail Address: _____

8. If your firm did not act as the Prime Contractor provide the name of the General Contractor

Firm Name: _____

Contact Name: _____

Telephone: _____ Fax: _____

E-mail Address: _____

(Attach additional pages with other pertinent information as necessary)



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PROJECT 3

PROJECT DATA SHEET

(A separate sheet must be prepared for each project submitted)

Complete and submit the following Project Data Sheet for each comparable project submitted as evidence of the entity's experience. Do not use any other form other than this Project Data Sheet.

The Project Data Sheet must be fully completed, but answering "NO" to a question contained solely in an Project Data Sheet (other than those questions that refer specifically to the Construction Experience required for a comparable project) shall not necessarily result in failure to achieve Qualification status. Only those Contractors that have been determined to be prequalified and to have been determined to be responsible contractors will be eligible to submit a bid for this Project.

1. Project Name: _____
2. Project Location (including full address, if any):

City: _____ State: _____ Zip: _____
3. Project Description: _____
4. Business name of entity which constructed this project:

 - 4a. Did your entity act as the Prime contractor during the entire project?
☐ YES ☐ NO
 - 4b. Did your entity act as the Subcontractor during the entire project?
☐ YES ☐ NO
5. Did the project include:
 - (a) A minimum overall construction cost of \$500,000.00?
☐ YES ☐ NO
 - (a1) Cost at Bid: \$ _____
 - (a2) Final Contract Amount: \$ _____
 - (b) Was fully completed by Bidder (either as the prime contractor or subcontractor) within the last seven (7) years. "Fully completed" shall be defined as Bidder having completed all work, including punch list, and submitted all required close-out documentation to project owner.
☐ YES ☐ NO
 - (c) Work involved the installation of multiple ETC Sensor Dimmer Racks?
☐ YES ☐ NO

- (d) Work included renovation, replacement, or new installation of a dimmer system?

☐ YES

☐ NO

6. Date construction contract was completed: _____
7. Project Owner Team and References:
- a. Project Owner Name: _____
- b. Project Owner Contact: _____ Title: _____
- c. Project Owner Address: _____
- d. City: _____ State & Zip code: _____
- e. Telephone: _____ Fax: _____
- f. E-mail Address: _____
8. If your firm did not act as the Prime Contractor provide the name of the General Contractor
- Firm Name: _____
- Contact Name: _____
- Telephone: _____ Fax: _____
- E-mail Address: _____

(Attach additional pages with other pertinent information as necessary)