

UNIVERSITY OF CALIFORNIA, SANTA BARBARA

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SANTA BARBARA • SANTA CRUZ

OFFICE OF DESIGN & CONSTRUCTION SERVICES and PHYSICAL FACILITIES

CONTRACTING SERVICES
Building 439
Santa Barbara, California 93106-1030
Telephone (805) 893-3356
Fax (805) 893-8592

SENT VIA: FAX ON THIS DATE
 HAND DELIVERY ON THIS DATE
 FEDERAL EXPRESS ON THIS DATE
 UNITED PARCEL SERVICE ON THIS DATE

HOLDERS OF PLANS AND SPECIFICATIONS:

Greenhouse Replacement Project
Project No. FM110575L/248-09
Addendum No. 01

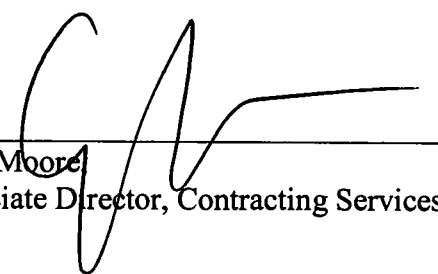
February 11, 2012

Enclosed is **ADDENDUM NO. 01** to the Construction Documents on the above-captioned project.

Bid date has been changed from Friday, February 24, 2012 at 2:30 PM to **Tuesday, February 28, 2012 at 2:30 PM** to be held at:

CONTRACTING SERVICES
Facilities Management, Bldg. 439,
Door #E, Reception Counter
University of California, Santa Barbara
Santa Barbara, CA 93106-1030.

Late arrivals shall be disqualified. Please allow time for unforeseen traffic delays, securing a parking permit and potential parking problems.



Greg Moore
Associate Director, Contracting Services

ADDENDUM NO. 01

to the

CONSTRUCTION DOCUMENTS

February 11, 2012

GENERAL

The following changes, additions or deletions shall be made to the following document(s) as indicated; all other conditions shall remain the same.

I. ADVERTISEMENT

Item No.

1. Advertisement for Bids, First Page, ADD in its entirety as follows:

“PRODUCT SUBSTITUTION DEADLINE: For those products, materials and equipment designated in the Bidding Documents as requiring evaluation of substitution prior to submittal of bids, requests for said substitutions will only be accepted at the following location:

Contracting Services
Facilities Management, Building #439
Door #E, Reception Counter
University of California, Santa Barbara
Santa Barbara, California 93106-1030

and will **not** be accepted after: **3:00 p.m., Friday, February 17, 2012**

Item No.

2. Advertisement for Bids, First Page, ADD in its entirety as follows:

“Bidder Qualifications: To be allowed to submit a bid, Bidders must have the minimum experience set forth in the Qualification Questionnaire. The Bidder shall submit their qualifications on the form provided by the University as an attachment to the Bid Form.

Subcontractors (Greenhouse Installer): To be allowed to submit subcontractor bids to qualified General Contractors, subcontractors in the Greenhouse Installer trade must have the minimum experience set forth in the applicable Qualification Questionnaire contained in the Bidding Documents and posted on the website listed below.

QUALIFICATION PROCEDURE:

Qualification documents will be available on our home page: <http://facilities.ucsb.edu/> under section: Contracts, Projects Available for Bid.

The evaluation is solely for the purpose of determining bidders who are deemed qualified for successful performance of the type of work included in this project. The contract will be awarded to the qualified bidder submitting the lowest responsive bid.

Item No.

3. Advertisement for Bids, Second Page, First Sentence, CHANGE to read in its entirety as follows:

“Bid Deadline: Sealed Bids must be received on or before 2:30PM, Tuesday, February 28, 2012.”

II SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

Item No.

1. Supplementary Instructions to Bidders, Number 4, CHANGE to read in its entirety:

“Bids will be received on or before the Bid Deadline: 2:30PM, Tuesday, February 28, 2012, and only at: Contracting Services, Facilities Management, Building 439, Door E, Reception Counter, University of California, Santa Barbara, Santa Barbara, CA 93106-1030.”

Item No.

2. Supplementary Instructions to Bidders, first page “7. Instructions to Bidders (Article 1 – “Definitions”), Number 7, Add in its entirety as follows:

“1.13 The term “Product Substitution Deadline” means the date and time designated in the Advertisement for Bids as the last date and time for receipt of product substitutions for those products, materials and equipment designated in the Bidding Documents as requiring evaluation of substitution prior to submittal of bids, as may be revised by Addenda.”

Item No.

3. Supplementary Instructions to Bidders, first page “7. Instructions to Bidders(Article 3- “Bidding Documents”), Number 7, Add in its entirety as follows:

“Amend paragraph 3.3.1 Substitutions will be considered prior to award of Contract. Substitutions will be considered before award of the Contract. For products, materials and equipment designated in the below-listed specifications sections as requiring evaluation of substitution prior to submittal of bids, all requests for such substitutions shall be deposited at the location identified in the Advertisement for Bids, on or before the Product Substitution Deadline. No further substitution requests on such identified products, materials and equipment will be considered following said Product Substitution Deadline except as indicated in Section 01630, PRODUCT OPTIONS AND SUBSTITUTIONS.

Section 13120 Greenhouse”

III QUALIFICATION QUESTIONNAIRE

Item No.

- 1 **Qualification Questionnaire: ADD** in its entirety the attached Qualification Questionnaire per Addendum 1, (13 pages, attached). Qualification Questionnaire not submitted with the Bid Form will be rejected as non-responsive

IV SPECIFICATIONS

Item No.

1. **Section 01630 – Product Options and Substitutions; Part 1. General;1.01 Substitution of Materials and Equipment; D : ADD** to read in its entirety
- “2 Except for products, material or equipment designated in the Bidding Documents for evaluation of substitutions prior to submittal of bids (refer to Supplementary Instructions to Bidders, Sub-article 3.3.1 and individual specification sections), requests for substitution, including the data required by Paragraph 1.3.A herein, must be submitted to University's Representative not later than 35 days after the date of commencement specified in the Notice to Proceed. No requests for substitutions of products, material or equipment subject to the 35-day deadline shall be considered unless the request and supporting data is submitted on or before the deadline, except those deemed, in University's Representative's sole opinion, to be necessary because (i) previously specified or approved manufactured products, material or equipment are no longer manufactured, (ii) of University initiated change orders, or (iii) it is in the best interest of University to accept such substitution.
3. For those products, materials or equipment designated in the Bidding Documents for evaluation of substitutions prior to submittal of bids, a request for substitution of the product, material or equipment, including the data required by Paragraph 1.3.A herein, must be submitted by the Product Substitution Deadline specified in the Advertisement for Bids. Because of time constraints, only one submittal will be allowed for each such substitution

request. Requests for substitutions of products, material or equipment designated for evaluation prior to submittal of bids may not be made after the Product Substitution Deadline, and such requests shall not be considered unless the request and supporting data is submitted on or before said Deadline. Notwithstanding the forgoing, University may consider, after award of the Contract, requests for substitution of a product, material or equipment designated for evaluation prior to submittal of bids where, in University's Representative's sole opinion, a substitution is necessary because (i) previously specified or approved manufactured products, material or equipment are no longer manufactured, (ii) of University initiated change orders, or (iii) it is in the best interest of University to accept such substitution.

4. In reviewing the supporting data submitted for substitutions, University's Representative will use, for purposes of comparison, all the characteristics of the specified material or equipment as they appear in the manufacturer's published data even though all the characteristics may not have been particularly mentioned in the Specifications. If more than 2 submissions of supporting data are required, the cost of reviewing the additional supporting data shall be at Contractor's expense.
5. Contractor has the burden of demonstrating, through the procedures specified herein, that its proposed substitution is equal to or superior to the first-named product, material or equipment in quality, utility and appearance and complies with all other requirements of the plans and specifications. If revisions or modifications to the design or construction of the work are necessitated by the use of the substitution, Contractor also has the burden of demonstrating, through the procedures specified herein, that the use of the substitution will not be detrimental to the quality, utility or appearance of the Project or any portion of the Project.
6. The University's Representative may refuse to approve any requested substitution where, in the reasonable opinion of University's Representative, Contractor has failed to demonstrate, through the procedures specified herein, that the proposed substitution is equal to, or superior to, the first-named product, material or equipment, in quality, utility and appearance and that the proposed substitution complies with all other requirements of the plans and specifications.
7. University's Representative may reject any substitution not proposed in the manner and within the time limits prescribed herein.”

END OF ADDENDUM NO. 1



UNIVERSITY OF CALIFORNIA, SANTA BARBARA

**GREENHOUSE REPLACEMENT PROJECT
PROJECT NO. FM110575L/248-09
QUALIFICATION QUESTIONNAIRE**

**UNIVERSITY OF CALIFORNIA, SANTA BARBARA
CAMPUS DESIGN & FACILITIES
FACILITIES MANAGEMENT, BUILDING 439
SANTA BARBARA, CALIFORNIA 93106-1030**

FEBRUARY 2012

GREENHOUSE REPLACEMENT PROJECT**PROJECT NO. FM110575L/248-09****QUALIFICATION QUESTIONNAIRE**

As used herein, the term "entity" means the prospective Contractor submitting this Qualification Questionnaire regardless of whether the entity is an individual company, joint venture, or partnership. Please note that the term "prospective Contractor" may sometimes be used interchangeably with the term "entity".

Each prospective Contractor must have a current and active California contractor's license at the time of the prequalification and must submit this Qualification Questionnaire with all portions completed, including any required attachments.

SUBMITTED BY:

(Entity Name. If a Joint Venture state name of JV entity) printed or typed

(Contact Name)

(Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail Address)

Each prospective Contractor must answer all of the following questions and provide all requested information. Any prospective Contractor failing to do so will be deemed to be not responsive and not prequalified with respect to this Prequalification. All contractors that have submitted a Qualification Questionnaire will be notified in writing of whether or not they have successfully achieved Prequalification status. Prospective Contractors that affirmatively respond (i.e. answer YES) to all questions, submit all required information and supporting data, and are determined to have accurately responded to the questions will be prequalified.

The Exhibits must be fully completed, but answering "NO" to a question contained solely in an Exhibit shall not necessarily result in failure to achieve Prequalification status. Only those Contractors that have been determined to be prequalified and to have been determined to be responsible contractors will be eligible to submit a bid for this Project.

If the prospective Contractor is determined by the University not to be prequalified the prospective Contractor may request a review by the Facility. Any such request must be received by the Facility within 3 calendar days after receipt by the prospective Contractor of the determination. The decision resulting from such review is final and is not appealable within the University of California. Any person or entity not satisfied with the outcome of the prequalification must file a writ challenging the outcome within 10 calendar days from the date of the University's written notice regarding prequalification determination. Any assertion that the outcome of the prequalification process was improper will not be a ground for a bid protest.

All information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS.

NOTE: Where a time period is given, such as the last five (5 years), the period is to be measured backwards from the date this Qualification Questionnaire is required to be submitted.

1. LICENSE

- A. Does the entity hold the following California contractor's license, which is (are) current and in good standing with the California Contractor's State License Board?

B	General Building
(License Code)	(License Classification)

YES NO

(NOTE: The entity submitting this Qualification Questionnaire must be the holder of the requisite license. If the entity submitting is a Joint Venture, the joint venture must hold the license or have applied for the license(s).

- B. If YES, provide the following information about the entity's contractor's license.

1. Name of license holder exactly as on file with the California Contractor's State License Board.

2. License classification(s): _____

3. License code(s): _____

4. License number(s): _____

5. Date(s) issued: _____

6. Expiration date(s): _____

- C. Can you truthfully state that the entity's contractor's license has not been suspended or revoked by the California Contractor's State License Board within the last 5 years?

YES NO

2. MINIMUM QUALIFICATION REQUIREMENTS

NOTE: Where a time period is given, such as the last five (5 years), the period is to be measured backwards from the date this qualification questionnaire is required to be submitted.

A. BIDDER EXPERIENCE (IN COMPARABLE PROJECTS)

Has the entity successfully completed at least THREE (3) comparable projects greater than \$1,000,000 within the last in the last 5 years?

YES NO

1. If yes, please provide a client listing of at least the minimum number of five comparable projects on the attached form x and include a name and contact information for each project.
2. **References.** Submit at least three (3) examples of your organization's relevant projects with construction values of at least \$1,000,000 completed within the last five (5) years. Provide information on projects completed that demonstrate your organization's experience with projects of similar scope, size and complexity. Provide project name, location, construction value, and owner contact information on the attached Exhibit A (Statement of Experience).

Constructed by the entity submitting this Qualification Questionnaire. (Note: Projects completed by present employees of the contractor for former employers are not acceptable.)

An entity wishing to use a predecessor business to satisfy the qualification requirements must demonstrate with written information submitted with this Qualification Questionnaire that it is substantially the same organization (in terms of who is managing Contractor) as the predecessor business. An entity may meet the requirement of the preceding sentence by demonstrating that the same person is the qualifying individual (under California Contractor's License Law) for:

Contractor' license of Contractor which shall be the same type as license required for the Contract; and

Contractor's license of predecessor business which shall also be the same type as the license required for the Contract.

Provide Project Data Sheets – Exhibits A – B located at the end of this Qualification Questionnaire for each comparable project submitted as evidence of the entity's experience. Submit not more or less than the number Project Data Sheets corresponding to the required number of comparable projects listed above.

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Exhibit A: For each project submitted as evidence of the entity's construction expertise. Project Data Sheet Bidder Experience.

Exhibit B: For each project submitted as evidence of the entity's construction expertise. Project Data Sheet Greenhouse Installer Experience.

Use the Project Data Sheets provided. Make additional copies as required for each project submitted.

3. QUALIFICATION DECLARATION FOR BIDDER

I, _____ (Printed Name)
hereby declare that I am the _____ (Title)
of _____ (Name of Entity)

submitting this Qualification Questionnaire; that I am duly authorized to sign this Qualification Questionnaire on behalf of the above named entity; and that all information set forth in this Qualification Questionnaire and all attachments hereto are, to the best of my knowledge, true, accurate and complete as of its submission date.

The undersigned declares under penalty of perjury that all of the prequalification information submitted with this form is true and correct and that this declaration was executed in:

_____ (County), California, on _____ (Date).

(Signature)

(Printed Name)

(Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail Address)

B. GREENHOUSE INSTALLER EXPERIENCE (IN COMPARABLE PROJECTS)

Has the entity successfully installed: (3) projects, each meeting ALL of the following criteria:

- a. Fully completed by Installer within the last Five (5) years (fully completed shall be defined as Installer having completed all on-site work, including punch list and all required close-out documentation to project owner); AND
- b. The cost of said new Greenhouse Project was at least \$750,000.00

YES or NO

1. If yes, please provide a client listing of at least the minimum number of three comparable projects on the attached form x and include a name and contact information for each project.
2. **References.** Submit at least three (3) examples of your comparable projects completed within the last five (5) years, including at least one (1) project, which is a public works project in the state of California. Provide information on projects completed or currently in progress that demonstrate bidder's experience with projects of similar scope, size and complexity. Provide project name, location, construction value, and owner contact information on the attached Exhibit C (Statement of Experience).

1. DISCIPLINARY MEASURES HISTORY

- a. Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) HAS NOT been disqualified or otherwise barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, school district) within the last five (5) years?

YES NO

2. FALSE CLAIMS HISTORY

- a. Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) HAS NOT been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System, school district) within the last five (5) years?

YES NO

3. TERMINATION

a. Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) HAS NOT been terminated for cause by an Owner after construction commenced within the last five (5) years?

YES NO

5. QUALIFICATION DECLARATION FOR GREENHOUSE INSTALLER

I, _____ (Printed Name)
hereby declare that I am the _____ (Title)
of _____ (Name of Entity)

submitting this Qualification Questionnaire; that I am duly authorized to sign this
Qualification Questionnaire on behalf of the above named entity; and that all information
set forth in this Qualification Questionnaire and all attachments hereto are, to the best of
my knowledge, true, accurate and complete as of its submission date.

The undersigned declares under penalty of perjury that all of the prequalification information
submitted with this form is true and correct and that this declaration was executed in:

_____ (County), California, on _____ (Date).

(Signature)

(Printed Name)

(Address)

(City, State, Zip Code)

_____ (Telephone Number) _____ (Facsimile Number)

(E-mail Address)

EXHIBIT – A

PROJECT DATA SHEET BIDDER EXPERIENCE

(A separate sheet must be prepared for each project submitted)

Complete and submit the following Project Data Sheet for each comparable project submitted as evidence of the entity's experience. Do not use any other form other than this Exhibit.

The Exhibit must be fully completed, but answering "NO" to a question contained solely in an Exhibit shall not necessarily result in failure to achieve Prequalification status. Only those Contractors that have been determined to be prequalified and to have been determined to be responsible contractors will be eligible to submit a bid for this Project.

1. Project Name: _____

2. Project Location (including full address, if any):

 City: _____ State: _____ Zip _____

3. Project Description: _____

4. Business name of entity which constructed this project:

4A. Did your entity act as a General (Prime) contractor during the entire project?

YES NO

4A. Did your entity act as a Subcontractor during the entire project?

YES NO

4C. Did your entity self-perform any of the work?

YES NO

If YES, please specify the trades you self-performed or have the capability to self perform: _____

5. Construction Experience

a. Has the entity successfully completed at least THREE (3) comparable projects greater than \$1,000,000 within the last in the last 5 years? (fully completed shall be defined as Bidder having completed all on-site work, including punch list, and submitted all required close-out documentation to project owner).

YES NO

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Date construction contract was completed: _____

Cost at Bid: \$ _____

6. Project Owner Team and References:

a. Project Owner Name: _____

b. Project Owner Contact: _____ Title: _____

c. Project Owner Address: _____

d. City: _____ State & Zip code: _____

e. Telephone: _____ Fax: _____

f. E-mail Address: _____

g. Owner's Project Manager: _____

h. Owner's Project Superintendent: _____

(Attach additional pages with other pertinent information as necessary)

EXHIBIT B

PROJECT DATA SHEET GREENHOUSE INSTALLER EXPERIENCE

(A separate sheet must be prepared for each project submitted)

Complete and submit the following Project Data Sheet for each comparable project submitted as evidence of the entity's experience. Do not use any other form other than this Exhibit.

The Exhibit must be fully completed, but answering "NO" to a question contained solely in an Exhibit shall not necessarily result in failure to achieve Prequalification status. Only those Contractors that have been determined to be prequalified and to have been determined to be responsible contractors will be eligible to submit a bid for this Project.

PROJECT DATA SHEET

(A separate sheet must be prepared for each project submitted)

1. Project Name: _____
2. Project Location (including full address, if any):

 City: _____ State: _____ Zip: _____
3. Project Description: _____
4. Business name of entity which constructed this project:

4A. Did your entity act as a General (Prime) contractor during the entire project?

YES NO

4A. Did your entity act as a Subcontractor during the entire project?

YES NO

4C. Did your entity self-perform any of the work?

YES NO

If YES, please specify the trades you self-performed or have the capability to self perform: _____

5. Construction Experience

- a. Fully completed by Installer within the last Five (5) years (fully completed shall be defined as Installer having completed all on-site work, including punch list and all required close-out documentation to project owner); AND

YES or NO

- b. The cost of said new Greenhouse Project was at least \$750,000.00

YES or NO

Date construction contract was completed: _____

Cost at Bid: \$ _____

6. Project Owner Team and References:

a. Project Owner Name: _____

b. Project Owner Contact: _____ Title: _____

c. Project Owner Address: _____

d. City: _____ State & Zip code: _____

e. Telephone: _____ Fax: _____

f. E-mail Address: _____

g. Owner's Project Manager: _____

h. Owner's Project Superintendent: _____

(Attach additional pages with other pertinent information as necessary)