

University of California,  
Santa Barbara



**LEVEL 1  
CM AT RISK  
CONTRACTOR  
PREQUALIFICATION  
DOCUMENTS FOR:**

Davidson Library  
Addition and Renewal,  
Bldg. 525

Project Number:  
FM120522L/981230

June 2012



**University of California, Santa Barbara**  
**Davidson Library Addition and Renewal, Bldg. 525**  
**Project Number: FM120522L/981230**

**TABLE OF CONTENTS**

ADVERTISEMENT.....3

GENERAL INFORMATION.....6

LEVEL 1 PREQUALIFICATION QUESTIONNAIRE.....8

ATTACHMENT A - PROJECT DATA SHEET.....19

**ADVERTISEMENT FOR CM AT RISK CONTRACTOR PREQUALIFICATION  
(BEST VALUE SELECTION)**

Subject to conditions prescribed by the **University of California, Santa Barbara** responses to the University's prequalification documents for a CM at Risk Contractor (Best Value Selection) contract are sought from bidders for the following Project:

**DAVIDSON LIBRARY ADDITION AND RENEWAL, BLDG. 525  
PROJECT NUMBER: FM120522L/981230  
UNIVERSITY OF CALIFORNIA, SANTA BARBARA**

**PREQUALIFICATION OF PROSPECTIVE BIDDERS**

The University has determined that bidders who submit proposals on this project must be prequalified.

Prequalified bidders will be required to have the following California contractor's license:

**B – GENERAL BUILDING**

**GENERAL DESCRIPTION OF WORK**

Project Scope will include the construction of a 62,000 GSF, 3-story building addition and the complete renovation and seismic upgrade of a 110,000 GSF, 2-story existing building. The project scope will also include seismic retrofit, minor renovation and fire and life safety upgrades in the occupied 100,000 GSF, 8-story tower section of the facility. Fire and life safety upgrades and minor renovation will also occur in the occupied 120,000 GSF, 4-story section of the facility. Hazardous material abatement is included in the project scope. Library operations are required to continue throughout the project.

It is anticipated that funding approval will be finalized in November 2012. Construction start is estimated to be March 2013. Estimated construction cost is in the range of \$53,000,000.

**PREQUALIFICATION SCHEDULE**

On **June 11, 2012** at **10:00AM**, Level 1 Prequalification Documents will be available online. Firms with qualifications that include experience in the construction of projects similar in scale and scope are encouraged to respond. A copy of the Prequalification Documents will be available for download on our home page: <http://facilities.ucsb.edu> click on 'Projects' and then 'Requests for Qualifications/Prequalification' and download the posted project documents, or contact Greg Moore at [greg.moore@dcs.ucsb.edu](mailto:greg.moore@dcs.ucsb.edu) or via facsimile at (805) 893-8592 for assistance.

By **June 29, 2012** at **2:00PM** five (5) copies of the Level 1 Prequalification Documents must be submitted in a sealed envelope by each firm and received by the University at the following address:

Attn: Greg Moore, Associate Director  
Contracting Services  
University of California, Santa Barbara  
Facilities Management, Building 439, Room 'E'  
Santa Barbara, CA 93106-1030.

Level 1 Prequalification Documents may not be accepted from bidders after 2:00PM. However, the University reserves the right to request, receive, and evaluate supplemental information after the above time and date at its sole discretion. The University reserves the right to reject any or all responses to Prequalification Questionnaires and to waive non-material irregularities in any response received.

Level 2 interviews will be conducted after review of the Level 1 Prequalification Documents for those prospective bidders that pass Level 1.

If a prospective Contractor is determined by the University not to be prequalified, the prospective Contractor may request a review by the Facility. Any such request must be received by the Facility within three (3) calendar days after receipt by the prospective Contractor of the determination. The decision resulting from such review is final and is not appealable within the University of California. Any person or entity not satisfied with the outcome of the prequalification must file a writ challenging the outcome within ten (10) calendar days from the date of the University's written notice regarding prequalification determination. Any assertion that the outcome of the prequalification process was improper will not be ground for a bid protest.

#### **ANTICIPATED BIDDING SCHEDULE**

Following is the anticipated proposal schedule:

1. Bidding Documents available to the prequalified bidders – August 13, 2012
2. Mandatory Pre-bid Meeting – August 20, 2012
3. Bids received– September 11, 2012

The exact dates, times, and location for acceptance of bids will be set forth in an **“Announcement to Prequalified Bidders and Advertisement for Bids.”**

#### **ADDITIONAL INFORMATION**

The methodology used to determine Best Value are described in the Bidding Documents. The lowest responsible bidder will be selected by the University on the basis of the Best Value to the University. The Best Value to the University will be determined using objective criteria described in the Bidding Documents with the resulting selection representing the best combination of price and qualifications.

Information submitted by bidders shall not be open to public inspection to the extent that the information is exempt from disclosure under the California Public Records Act (Chapter 3.5 (commencing with Section 6250) of Division 7 of the Title of the Government Code).

The work described in the contract is a public work subject to section 1771 of the California Labor Code.

**AFFIRMATIVE ACTION STATEMENT**

Each candidate firm will be required to show evidence of its equal employment opportunity policy. Every effort will be made to ensure that all persons shall have equal access to contracts and other business opportunities with the University, regardless of: race; color; religion; sex; age; ancestry; national origin; sexual orientation; physical or mental disability; veteran's status; medical condition; genetic information; marital status; gender identity; pregnancy; service in the uniformed services; or citizenship within the limits imposed by law or University's policy.

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA  
University of California, Santa Barbara  
June 11, 2012  
*Advertisement*

## GENERAL INFORMATION

### **I. CM/CONTRACTOR SERVICES**

The contract will have two (2) phases. The awarded contract will be for Phase 1, but the University has a unilateral option to commence Phase 2 or not. If University elects to commence Phase 2, a Contract Amendment to the Phase 1 contract will be issued.

**A. Preconstruction (Phase 1) Services** will include, but not limited to estimating, scheduling, value engineering, logistic studies, constructability and coordination reviews, prequalification of subcontractors, preparation of instructions to bidders, subcontractors bid solicitation by competitively bidding and recommendation on award on multiple trade packages to be issued in multiple stages.

**B. Construction (Phase 2) Services** of the project shall include, but not be limited to scheduling, coordination of work, subcontractor management (subcontracts will be held by CM/Contractor), quality control, submittal, RFI, cost control, code compliance, safety, testing and commissioning, change order management and closeout.

### **II. BIDDING PROCEDURES FOR PREQUALIFIED CM/CONTRACTORS**

When the Bidding Documents become available, lump sum bids submitted directly to the University will be received ONLY from prequalified CM/Contractors meeting or exceeding the Level 1 and Level 2 prequalification requirements. Only bidders who have been prequalified by the University shall be invited to participate in a Best Value bid for this project. Prequalified CM/Contractors will be issued a complete set of bidding documents. Bidders shall submit on a designated date a Lump Sum Base Bid representing all costs for CM/Contractor's Base Fee and General Conditions Work to construct this project as a CM/Contractor. General Conditions work will be specified in the Contract Documents.

Once the contract is awarded, the CM/Contractor will be authorized by the University to begin Phase 1 work of preconstruction services and will develop bid packages and if required, prequalify subcontractors. The CM/Contractor will competitively bid the various Bid Packages representing the construction work required to complete the Project. The CM/Contractor may elect to bid on, and self-perform, specific Bid Packages as listed in the bidding documents, if any. Phase 2 work shall be exercised only at University's sole option, and shall be for construction services. Phase 2 shall not be exercised until the University exercises Phase 2 option to CM/Contractor to proceed with construction services.

### **III. INSURANCE & BONDING**

Bid Security will be in the amount of 10% of the Anticipated Contract Value and shall accompany each bid. The Surety(ies) issuing the Bid Bond, the Payment Bond and the Performance Bond shall at the time of the bid deadline be an admitted surety insurer as defined in the California Code of Civil Procedures Section 995.120. Note, Performance and Payment Bonds will not be required until (and if) the University exercises the option to proceed with Phase 2.

**University of California, Santa Barbara  
Davidson Library Addition and Renewal, Bldg. 525  
Project Number: FM120522L/981230**

The University shall pay for, obtain and maintain a University Controlled Insurance Program (“UCIP”) providing workers’ compensation and employer’s liability insurance coverage, commercial general liability insurance coverage, and excess liability insurance coverage, to persons and entities enrolled in the UCIP, for Work performed on or at the Project site. UCIP coverages shall apply only to the Work of each Enrolled Party performed on or at the Project site, and only to Enrolled Parties that are eligible for the UCIP. Persons and entities eligible for such coverage, including CM/Contractor and all Subcontractors, unless excluded under the terms of the agreement, will be required to enroll in the UCIP. UCIP coverages shall not apply to ineligible parties, even if they are erroneously enrolled in the UCIP. CM/Contractor shall, at its expense, purchase and maintain, and shall require all Enrolled Parties, all Eligible Parties that are not enrolled, and all Excluded Parties, at their expense, to purchase and maintain, the insurance policies and coverages.

**LEVEL 1 PREQUALIFICATION QUESTIONNAIRE**  
**For**  
**DAVIDSON LIBRARY ADDITION AND RENEWAL, BLDG. 525**  
**UNIVERSITY OF CALIFORNIA, SANTA BARBARA**

Each prospective CM/Contractor (also referred to herein as “Bidder”) must answer all of the following questions and provide all requested information. Any prospective CM/Contractor failing to do so may be deemed to be not responsive and, at the sole discretion of the University, not prequalified with respect to this Prequalification. All Level 1 requirements will be evaluated on a pass/fail basis. All CM/Contractors that have submitted a Level 1 Prequalification Questionnaire will be notified in writing whether or not they have successfully achieved Level 1 Prequalification status. Only those CM/Contractors that have successfully achieved Level 1 Prequalification status will be permitted to participate in the Level 2 Prequalification process, including firm interviews. Those CM/Contractors that successfully achieve Level 2 Prequalification status will be determined to be prequalified and only those prequalified CM/Contractors will be eligible to submit a bid for this Project.

If the Bidder can refute some of the facts upon which the above determinations were based, the Bidder may request a review by the University. The decision resulting from such review is final and is not appealable within the University of California.

**SUBMITTED BY:**

\_\_\_\_\_

**(Name and Title) Printed or Typed**

\_\_\_\_\_

**(Signature)**

\_\_\_\_\_

**(Firm Name. If a Joint Venture, state name of JV Entity)**

\_\_\_\_\_

**(Contact Name)**

\_\_\_\_\_

**(Address)**

\_\_\_\_\_

**(City, State, Zip Code)**

\_\_\_\_\_

**(Telephone Number)**

\_\_\_\_\_

**(Facsimile Number)**

\_\_\_\_\_

**(e-mail Address)**

All information submitted for Prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

**WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS.**



1. PREQUALIFICATION DECLARATION

I, \_\_\_\_\_, hereby declare that I am the  
(Printed Name)

\_\_\_\_\_ of \_\_\_\_\_  
(Title) (Name of Firm)

submitting this Prequalification Questionnaire; that I am duly authorized to sign this Prequalification Questionnaire on behalf of the above named firm; and that all information set forth in this Prequalification Questionnaire and all attachments hereto are, to the best of my knowledge, true, accurate and complete as of its submission date.

The undersigned declares under penalty of perjury that all of the prequalification information submitted with this form is true and correct and that this declaration was executed in

\_\_\_\_\_ (County), \_\_\_\_\_, (State)

on \_\_\_\_\_ (Date).

\_\_\_\_\_  
(Signature)

*If the above is signed by other than the sole proprietor, a general partner, or corporate officer, attached original notarized power of attorney or corporate resolution.*

2. LICENSE

- A. Does your firm hold the following California contractor's license, which is current, valid, and in good standing with the California Contractor's State License Board?

License Classification: **General Building Contractor**

License Code: **B**

YES  NO

(NOTE -The entity submitting this prequalification questionnaire must be the holder of the requisite license. If the entity submitting is a Joint Venture, the joint venture must hold the license. If the entity submitting is a partnership, the partnership must hold the license)

- B. If yes, provide the following information about your firm's contractor's license:

1. Name of license holder exactly as on file with the California Contractor's State License Board:

\_\_\_\_\_

2. License Classification: \_\_\_\_\_

3. License Code: \_\_\_\_\_

4. License Number: \_\_\_\_\_

5. Date Issued: \_\_\_\_\_

6. Expiration Date: \_\_\_\_\_

- C. Can you truthfully state that your firm's contractor's license has not been suspended or revoked by the California Contractor's State License Board within the last 10 years?

YES  NO

- D. If the entity submitting this prequalification questionnaire is a Joint Venture or partnership, can the Joint Venture or partnership entity truthfully state that no member of the Joint Venture or partnership has had their firm's contractor's license suspended or revoked by the California Contractor's State License Board within the last 10 years?

YES  NO  NOT APPLICABLE

Note: Failure to answer "Yes" to questions "2A", "2C" or "2D" above will result in the Bidder being deemed "not qualified", thereby eliminating that firm from further consideration in the competitive process.

## 3. SURETY

Prospective CM/Contractors shall obtain and submit the Surety Declaration in the form shown below under paragraph 3.D, which shall be signed by an authorized representative of the surety proposed to be used for this project and notarized. (NOTE -If firm has used current surety for less than ten years, list all surety(ies) previously used and indicate the number of years such sureties were used for the last ten (10) years. If entity submitting this prequalification document is a joint venture or a partnership, provide such information for each member or partner).

- A. Is the surety to be used for this project authorized by the Insurance Commissioner to transact business of insurance in the State of California?

YES  NO

- B. Is the prospective CM/Contractor able to obtain bonding for the Anticipated Contract Value of \$53,000,000?

YES  NO

- C. Has any surety paid out any monies on claims on the performance bond issued by a surety for the benefit of the Owner arising out of the construction activities of the prospective CM/Contractor within the last ten (10) years?

YES  NO

1. If the entity submitting this prequalification questionnaire is a Joint Venture, has any surety paid out any monies on claims on the performance bond issued by a surety for the benefit of the Owner arising out of the construction activities of any member of the Joint Venture within the last ten (10) years?

YES  NO  NOT APPLICABLE

Note: Failure to answer "Yes" to questions "3A" and "3B" above will result in the Bidder being deemed "not qualified", thereby eliminating that firm from further consideration in the competitive process. Further, a Bidder's failure to provide an acceptable explanation for a "Yes" response to question "3C" (or "3C.1", if applicable) will result in the Bidder being deemed "not qualified", thereby eliminating that firm from further consideration in the competitive process.

D. Surety Declaration:

**BIDDER MUST PROVIDE THE FOLLOWING DECLARATION TO ITS SURETY(IES) FOR COMPLETION/EXECUTION, AND RETURN THE SIGNED DECLARATION TO THE UNIVERSITY AS PART OF ITS BID SUBMITTAL. DO NOT HAVE THE SURETY SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.**

The undersigned declares under penalty of perjury that the bonding capacity indicated above is true and correct and that this declaration was executed in

\_\_\_\_\_ (County), \_\_\_\_\_, (State)

on \_\_\_\_\_ (Date).

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Name and Title - Printed or Typed)

\_\_\_\_\_  
(Representing [Surety Name])

\_\_\_\_\_  
(Firm Name)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(City, State, Zip Code)

\_\_\_\_\_  
(Telephone Number)

\_\_\_\_\_  
(Facsimile Number)

\_\_\_\_\_  
(Email Address)

*(ATTACH NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)*

4. INSURER

Prospective CM/Contractor shall obtain and submit the Insurance Declaration in the form shown below under paragraph 4.D, which shall be signed by an authorized representative of its insurer and notarized. (If more than one insurer, submit a completed form for each insurer).

A. Is the insurer listed below to be used for all required insurance (except Workers Compensation) listed by Best with a rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's)?

YES  NO

Indicate Best Rating: \_\_\_\_\_

Indicate Best Financial Classification: \_\_\_\_\_  
(or provide Standard & Poor or Moody's rating)

B. Is the insurer to be used for Workers Compensation insurance listed by Best with a rating of B+ or better, and also have a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's)?

YES  NO

Indicate Best Rating: \_\_\_\_\_

Indicate Best Financial Classification: \_\_\_\_\_  
(or provide Standard & Poor or Moody's rating)

C. Is the prospective CM/Contractor able to obtain insurance in the following limits for this construction contract?

YES  NO

1. If the entity submitting this prequalification questionnaire is a Joint Venture, can the Joint Venture entity itself obtain insurance in the following limits for this construction contract?

YES  NO  NOT APPLICABLE

<u>Commercial Form General Liability Insurance - Limits of Liability</u>	<u>Minimum Requirement</u>
Each Occurrence - Combined Single Limit for Bodily Injury and Property Damage	\$3,000,000
Products - Completed Operations Aggregate	\$5,000,000
Personal and Advertising Injury	\$3,000,000
General Aggregate	\$5,000,000
<u>Business Automobile Liability Insurance - Limits of Liability</u>	
Each Accident - Combined Single Limit for Bodily Injury and Property Damage	\$1,000,000

Note: Failure to answer "Yes" to questions "4A", "4B" and "4C" (or "4C.1", if applicable) above will result in the Bidder being deemed "not qualified", thereby eliminating that firm from further consideration in the competitive process.

D. Insurance Declaration:

**BIDDER MUST PROVIDE THE FOLLOWING DECLARATION TO ITS INSURANCE CARRIER FOR COMPLETION/EXECUTION, AND RETURN THE SIGNED DECLARATION TO THE UNIVERSITY AS PART OF ITS BID SUBMITTAL. DO NOT HAVE THE INSURANCE CARRIER SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.**

The undersigned declares under penalty of perjury that all of the above insurer information is true and correct and that this declaration was executed in

\_\_\_\_\_ (County), \_\_\_\_\_, (State)

on \_\_\_\_\_ (Date).

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Name and Title - Printed or Typed)

\_\_\_\_\_  
(Representing [Insurer Name])

\_\_\_\_\_  
(Firm Name)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(City, State, Zip Code)

\_\_\_\_\_  
(Telephone Number)

\_\_\_\_\_  
(Facsimile Telephone Number)

\_\_\_\_\_  
(Email Address)

*(ATTACH NOTARIZATION of INSURER REPRESENTATIVE'S SIGNATURE)*

5. SAFETY PROGRAM

A. Does your firm have a written Injury and Illness Prevention Program (IIPP) that complies with California Code of Regulations, Title 8, Sections 1509 and 3203?

YES  NO

B. Does your firm have a written safety program that meets CAL/OSHA requirements?

YES  NO

C. Will your firm have personnel permanently assigned and dedicated to Safety on this project?

YES  NO

D. Have you had accidents, which resulted in a construction fatality, on any of your projects within the last five (5) years?

YES  NO

If yes, provide additional information. \_\_\_\_\_  
\_\_\_\_\_

E. Have you had Cal-OSHA fines in the Serious, Repeat or Willful categories?

YES  NO

If yes, provide additional information. \_\_\_\_\_  
\_\_\_\_\_

F. Attach EMR verification from State of California or from insurance company.

EMR Category Code: \_\_\_\_\_

Note: Failure to answer "Yes" to questions "5(A), 5(B) and 5(C)" listed above will result in the Bidder being deemed "not qualified", thereby eliminating that firm from further consideration in the competitive process. Further, Bidder's failure to provide an acceptable explanation for a "Yes" response to question "5(D)" and/or "5(E)" will result in the Bidder being deemed "not qualified", thereby eliminating that firm from further consideration in the competitive process.

6. DISCIPLINARY MEASURES HISTORY

- A. Can you truthfully state that your firm has not been disqualified or barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last ten (10) years?

YES  NO

If NO, please explain: \_\_\_\_\_  
\_\_\_\_\_

1. If the entity submitting this prequalification questionnaire is a Joint Venture, can the Joint Venture entity truthfully state that no members of the Joint Venture have been disqualified or barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last ten (10) years?

YES  NO  NOT APPLICABLE

If NO, please explain: \_\_\_\_\_  
\_\_\_\_\_

Note: Failure to answer “Yes” to questions “6(A)” (or “6(A)(1)”, if applicable) or provide an adequate explanation for a “No” response will result in the Bidder being deemed “not qualified”, thereby eliminating that firm from further consideration in the competitive process.



7. FALSE CLAIMS HISTORY

A. Can you truthfully state that your firm has not been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last ten (10) years?

YES

NO

If NO, explain: \_\_\_\_\_  
\_\_\_\_\_

1. If the entity submitting this Prequalification questionnaire is a Joint Venture, can the Joint Venture entity truthfully state that no member of the Joint Venture has been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System, etc.) within the last ten (10) years?

YES

NO

NOT APPLICABLE

If NO, explain: \_\_\_\_\_  
\_\_\_\_\_

Note: Failure to answer “Yes” to questions “7(A)” (or “7(A)(1)”, if applicable) or provide an adequate explanation for a “No” response will result in the Bidder being deemed “not qualified”, thereby eliminating that firm from further consideration in the competitive process.

**8. CONSTRUCTION EXPERIENCE (COMPARABLE PROJECTS)**

Your firm must have successfully completed at least 3 comparable projects within the last FIVE (5) years, all of which were constructed in the State of California. List projects on the attached Project Data Sheet (see Attachment "A"), identifying which of the criteria listed below have been met. At least one (1) of the projects submitted in response to this request must include experience as a CM/General Contractor providing *BOTH* pre-construction services and acting as General Contractor during construction phase on the same project.

- A. Subject to the above qualifications, a comparable project is defined as having ALL of the following:
1. A construction cost at the bid date of at least \$50,000,000; AND
  2. Construction Type 1-A as defined in the California Building Code, AND
  3. At least one (1) of the following construction challenges:
    - a. A renovation or addition to an occupied building;
    - b. A seismic retrofit of an existing Type 1-A building; or
    - c. A public works project for the University of California or other municipal, state or federal entity.
- B. If the entity submitting this prequalification questionnaire is a Joint Venture, the Joint Venture entity itself must demonstrate the required previous construction experience as a joint venture. Joint Venture teams newly formed to pursue this prequalification opportunity are not eligible for Level 1 prequalification.
- C. Listed projects must have been managed and constructed by the business entity submitted for Level 1 prequalification. Projects completed by present employees of the contractor for former employers are not acceptable.

**Note:** Failure to submit AT LEAST THREE (3) comparable projects that satisfy the requirements set forth above in question "8" will result in the Contractor being deemed "not qualified", thereby eliminating that firm from further consideration in the competitive process.

COMPLETE AND SUBMIT THE FOLLOWING PROJECT DATA SHEET FOR EACH COMPARABLE PROJECT SUBMITTED AS EVIDENCE OF YOUR FIRM'S EXPERIENCE. SUBMIT NOT MORE OR LESS THAN THE NUMBER PROJECT DATA SHEETS CORRESPONDING TO THE REQUIRED NUMBER OF COMPARABLE PROJECTS LISTED ABOVE.

**ATTACHMENT 'A'**  
**PROJECT DATA SHEET**

(A separate sheet must be prepared for each project submitted. COPY, AS NECESSARY)

1. Project Name: \_\_\_\_\_

2. Project Location/Facility: \_\_\_\_\_

3. Project Description: \_\_\_\_\_

4. Project Contracting Method (e.g., Lump Sum, CM at Risk, Design Build, etc.):  
\_\_\_\_\_

5. Construction Type: \_\_\_\_\_

6. Size (gross sq. ft.): \_\_\_\_\_

7. Business name of entity which constructed and managed this project: \_\_\_\_\_  
\_\_\_\_\_

8. Did your firm act as a CM/Contractor during the entire project, providing pre-construction services and/or act as General Contractor during the construction phase for this project? (At least one (1) of the submitted projects must have included both performance of pre-construction services and performance as General Contractor.)

YES  NO

9. Explain how is this project comparable to the project to be bid?

\_\_\_\_\_  
[provide separate written detail, if necessary] \_\_\_\_\_

10. Cost At Bid: \$ \_\_\_\_\_

Cost At Completion: \$ \_\_\_\_\_

11. Describe the sources and/or causes of the above differences in costs with reference to the following categories:

Document Problems: \$ \_\_\_\_\_  
Unforeseen Conditions: \$ \_\_\_\_\_  
Owner Generated Scope: \$ \_\_\_\_\_  
Regulatory Agency: \$ \_\_\_\_\_  
Other: \$ \_\_\_\_\_

Explain Other: \_\_\_\_\_

12. Was construction of the project completed within the last five (5) years?

YES  NO

- 13. \_\_\_\_\_ Contract Time at bid date (Number of calendar days)
- \_\_\_\_\_ Formally adjusted Contract Time (Number of calendar days; if not adjusted, state "Not Applicable")
- \_\_\_\_\_ Actual Elapsed Time between issuance of Notice To Proceed and date of final completion (Number of calendar days)

If completion did not occur within the Contract Time at bid date or within the formally Adjusted Contract Time, then explain the reason or reasons for the delay:

---



---

- 14. Were either liquidated damages or actual damages for delay assessed on this project?

YES  NO

- 15. Were any back charges or claims filed on the project?

YES  NO

- 16. If claims were filed on the project, please provide the following details for each claim:

- a. Dollar Amount of Initial Claim: \_\_\_\_\_
- b. Source of Claim (e.g., Subcontractor, etc.): \_\_\_\_\_
- c. Method of resolution (e.g., negotiation, mediation, arbitration, litigation): \_\_\_\_\_

- 17. Did the project require construction management services prior to construction?

YES  NO

- 18. Name of Project Manager: \_\_\_\_\_

- 19. Name of Project Superintendent: \_\_\_\_\_

- 20. Name of Project Engineer: \_\_\_\_\_

- 21. Did your firm self-perform any of the work?

YES  NO

If yes, please specify the trades you self-performed

---



---



---

22. CLIENT INFORMATION:

Client Firm Name: \_\_\_\_\_

Client Contact: \_\_\_\_\_ Title: \_\_\_\_\_

Client Address: \_\_\_\_\_

Client City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Client Phone: (    ) \_\_\_\_\_ Fax: (    ) \_\_\_\_\_

Client E-mail Address: \_\_\_\_\_

Architect/Engineer/  
Consultants: \_\_\_\_\_

Architect/Engineer  
Contact Name: \_\_\_\_\_ Phone: \_\_\_\_\_

Architect/Engineer  
E-mail Address: \_\_\_\_\_

*(Attach additional pages with other pertinent project information as necessary.)*