

University of California,
Santa Barbara



**PREQUALIFICATION
DOCUMENTS
FOR THE:**

Anacapa Residence Hall Life
Safety and Renewal, Bldg. 547

Project No. FM130187P/988650

Office of Design and
Construction Services

October 2012



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PREQUALIFICATION DOCUMENTS

I. BIDDER INFORMATION

As used herein, the term "entity" means the prospective Bidder submitting this Prequalification Questionnaire regardless of whether the entity is an individual company, joint venture, or partnership. Please note that the term "prospective Bidder" may sometimes be used interchangeably with the term "entity."

Each prospective Bidder must have a current and active California contractor's license at the time of the qualification and must submit this Prequalification Questionnaire with all portions completed, including any required attachments.

SUBMITTED BY:

(Entity Name. If a Joint Venture state name of JV entity) printed or typed

(Contact Name)

(Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail Address)

II. PREQUALIFICATION PROCEDURES

1. Each prospective Bidder must answer all of the following questions and provide all requested information, where applicable. Any prospective Bidder failing to do so will be deemed to be not responsive and not responsible with respect to this Prequalification at the sole discretion of the University of California. Prospective Bidders that affirmatively respond (i.e. answer "YES") to all questions (or provide an acceptable justification for a "NO" response), submit all required information and supporting data, and are determined, in the University's sole discretion, to have accurately responded to the questions will be prequalified. Only those Bidders that have been determined to be prequalified will be eligible to submit a bid for this Project. All Bidders that have submitted a Prequalification Questionnaire will be notified in writing of whether or not they have successfully achieved Prequalification status.
2. If the prospective Bidder is determined by the University not to be prequalified, the prospective Bidder may request a review by the Facility. Any such request must be received by the Facility within 3 calendar days after receipt by the prospective Bidder of the determination. The decision resulting from such review is final and is not appealable within the University of California. Any person or entity not satisfied with the outcome of the prequalification must file a writ challenging the outcome within 10 calendar days from the date of the University's written notice regarding prequalification determination. Any assertion that the outcome of the prequalification process was improper will not be a ground for a bid protest.
3. All information submitted for prequalification evaluation and marked as "confidential" will be considered official information acquired in confidence, and the University of California will maintain its confidentiality unless: (1) the University determines that it is required to release the information to a third party pursuant to the requirements of the California Public Records Act; or (2) the University is required by court order to release the information to a third party pursuant to the requirements of the California Public Records Act. In the event that the University receives a request pursuant to the California Public Records Act and the University determines that it is required to disclose information marked "confidential" by the provisions of the California Public Records Act, the University will notify the prospective Bidder of the pending disclosure at least 72 hours prior to such disclosure so that the prospective Bidder may seek a restraining order in advance of such disclosure.
 - A. The University shall err on the side of transparency and will generally treat information provided by the prospective Bidder that is not marked "confidential" as subject to disclosure pursuant to the California Public Records Act. Likewise, any decision by the University that any document is subject to disclosure pursuant to the California Public Records Act shall not prevent the University from making a subsequent determination that any document is not subject to disclosure pursuant to the California Public Records Act. All other information submitted for Prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS.

III. PROJECT DESCRIPTION

1. GENERAL PROJECT INFORMATION

- A. Proposals are hereby solicited from qualified Bidders possessing a General Building Contractor's (B) License to provide services for the construction of the Anacapa Residence Hall Fire Safety and Renewal. The structure houses approximately 422 students in 216 residential units. The original building is 79,079 GSF, 54,000+ ASF and was constructed in 1958 with major renovation, occurring in 1993. The current projected construction budget is approximately 5.3 million dollars and includes, but is not limited to, the following scope of work:
- Replacement of fire alarm system throughout the building.
 - Installation of new fire sprinkler system throughout the building.
 - Concealment of new fire sprinkler system to preserve aesthetics of the building.
 - Replacement of exterior windows and storefronts throughout the building.
 - Replacement of floor finishes throughout the building.
 - Replacement of all window coverings.
 - Installation of new fabric wrapped wall paneling or fabric over existing.
 - Replace all lighting in corridors, stairways and bathrooms to meet new energy standards.
 - Replace all low voltage data/communications & cable TV wiring throughout the building.
 - Painting of all existing interior painted surfaces throughout the building.
 - Replacement of corridor wallpaper throughout the building.
 - Removal of existing boilers and air handlers and install with new, energy efficient equipment.
 - Selected kitchen facilities in Residence Hall to be upgraded.
 - Replacement of corridor wallpaper throughout the building.
- B. The building will meet the criteria for LEED Certification with Gold as goal, and Silver as a minimum LEED standard for the project.
- C. Time is of the Essence. The project services described herein must be completed within a constrained timeframe and prior to the return of University students for the Fall 2013 term. Prospective Bidders are advised that time is of the essence and liquidated damages will be assessed against the Contractor if the scheduled construction completion date is not met.

2. PREQUALIFICATION & PROJECT SCHEDULE

| <u>Milestone/Event</u> | <u>Target Date</u> |
|--|---------------------|
| Release of Prequalification Documents | 10/22/12 |
| Prequalification Submittals Due by 2:30 p.m. on: | 11/08/12 |
| Qualified Contractors Notified | 11/16/12 |
| Bid Release for Project Services | Early February 2013 |
| Bid Deadline | Late February 2013 |
| NTP Issued | Early March 2013 |
| Construction Start | 6/19/13 |
| Construction Completion | 9/06/13 |

IV. PREQUALIFICATION QUESTIONNAIRE**1. LICENSE(S)**

- A. Does the entity hold the following California contractor's license(s), which is(are) current active, and in good standing with the California Contractor's State License Board?

License Classification: General Building Contractor

License Code(s): B

YES ☐ NO ☐

(NOTE -The entity submitting this prequalification questionnaire must be the holder of the requisite license. If the entity submitting is a Joint Venture, the joint venture must hold the license or have applied for the license(s).

- B. If yes, provide the following information about the entity's contractor's license:

1. Name of license holder exactly as on file with the California Contractor's State License Board:

2. License Classification(s): _____

3. License Code(s): _____

4. License Number(s): _____

5. Date(s) Issued: _____

6. Expiration Date(s): _____

- C. Can you truthfully state that the entity's contractor's license has not been suspended or revoked by the California Contractor's State License Board within the last 5 years?

YES ☐ NO ☐

2. SURETY

Prospective Bidder shall obtain and submit the Surety Declaration in the form shown below, signed by an authorized representative of the surety proposed to be used for this project and notarized.

- A. Is the surety to be used for this project authorized by the Insurance Commissioner to transact business in the State of California as an admitted surety insurer (as defined in the California Code of Civil Procedure Section 995.120)?

YES ☐ NO ☐

- B. Is the entity able to obtain bonding for \$5,000,000.00?

YES ☐ NO ☐

- C. Can the entity truthfully state that **no** surety has paid out any monies on claims on the performance bond issued by a surety for the benefit of the Owner arising out of the construction activities of the entity within the last 5 years?

YES ☐ NO ☐

- D. Can the entity truthfully state that **no** surety has paid out any monies on claims on the payment bond issued by a surety for the benefit of the Owner arising out of the construction activities of the entity within the last 5 years?

YES ☐ NO ☐

Surety Declaration:

PROVIDE THIS DECLARATION TO YOUR SURETY(IES) FOR COMPLETION. DO NOT HAVE THE SURETY SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.

The undersigned declares under penalty of perjury that the bonding capacity indicated above is true and correct and that this declaration was executed in

_____ (County), _____, (State)

on _____ (Date).

(Signature)

(Name and Title - Printed or Typed)

(Representing [Surety Name])

(Entity Name)

(Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail)

(ATTACH NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)

3. INSURER

Prospective Bidder shall obtain and submit the Insurance Declaration in the form shown below, signed by an authorized representative of its insurer and notarized. (If more than one insurer, submit a completed form for each insurer).

- A. Is the entity able to obtain insurance in the following limits for this construction contract?

YES ☐NO ☐

| <u>Comprehensive or Commercial Form General Liability Insurance* – Limits of Liability</u> | <u>Minimum Coverage</u> |
|---|--|
| • Each Occurrence – Combined Single Limit for Bodily Injury and Property Damage | \$1 Million |
| • Products – Completed Operations Aggregate | \$3 Million |
| • Personal and Advertising Injury | \$1 Million |
| • General Aggregate – Not Applicable to Comprehensive Form | \$3 Million |
| <u>Business Automobile Liability Insurance – Limits of Liability*</u> | <u>Minimum Coverage</u> |
| • Each Accident – Combined Single Limit for bodily Injury and Property Damage | \$ 1 Million |
| <u>Workers Compensation and Employer's Liability Insurance**</u> | <u>Minimum Coverage</u> |
| • Workers Compensation | As required by Federal and State of California Law |

*This insurance must be (i) issued by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's) or (ii) guaranteed, under terms consented to by the University (such consent to not be unreasonably withheld), by the State Fund or companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's). Further, the deductible, or retained limit, for each coverage shall not be more than \$100,000.

**This insurance must be issued by State Fund or companies (i) that have a Best rating of B+ or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's); or (ii) that are acceptable to the University.

Insurance Declaration:

PROVIDE THIS DECLARATION TO YOUR INSURANCE CARRIER FOR COMPLETION. DO NOT HAVE THE CARRIER SUBMIT THIS DECLARATION DIRECTLY TO THE UNIVERSITY.

The undersigned declares under penalty of perjury that below named insurer is currently willing to provide the insurance listed above and that this declaration was executed in

_____ (County), _____, (State)
on _____ (Date).

(Signature)

(Name and Title - Printed or Typed)

(Representing [Insurer Name])

(Entity Name)

(Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail)

(ATTACH NOTARIZATION of INSURER REPRESENTATIVE'S SIGNATURE)

4. CONSTRUCTION EXPERIENCE (COMPARABLE PROJECTS)

For the purposes of this RFP, “Minimum Construction Experience” must include the following relevant experience on comparable projects, which must be detailed on the attached Exhibit 1- Project Data Sheet:

- A. Has the prospective Bidder successfully **completed** at least FIVE (5) comparable projects within 7 years of the stated Prequalification submittal deadline, all of which were constructed in the United States of America?

YES ☐ NO ☐

- B. At a minimum, and subject to the above qualifications, a “comparable project” is defined as satisfying each of the following requirements:

1. Each listed project must have had a construction cost, at time of bid, that was greater than \$4,000,000;
2. Contract Award for each of the listed projects must have been awarded under a competitive solicitation process (e.g., Lump Sum or Negotiated Bid);
3. At least three (3) of the listed projects must include the Installation of a new or upgraded fire sprinkler system;
4. At least three (3) of the listed projects must include one or more of the following types of construction, or equivalent:
 - (i) Interior renovation including new ceilings, painting and other finishes.
 - (ii) New or completely upgraded fire alarm systems.
 - (iii) Extensive window and storefront replacement.
5. At least two (2) of the listed projects must be characterized as multistory residential housing facilities in a university, military or other public sector setting, or equivalent;
6. At least three (3) of the listed projects must have been constructed in California;
7. At least two (2) of the listed projects must have been completed projects within a restrictive timeframe without permitted schedule overruns; and
8. At least two (2) of the listed projects must include demonstrable LEED rating component. This project will seek LEED Gold for Interiors, and the successful Contractor will have a significant role in assisting the university with the LEED application process.

- C. An entity wishing to use a predecessor business to satisfy prequalification requirements must demonstrate with written information submitted with this Prequalification Questionnaire that it is substantially the same organization (in terms of who is managing Bidder) as the predecessor business. An entity may meet the requirement of the preceding sentence by demonstrating that the same person is the qualifying individual (under California Contractor’s License Law) for:

1. Contractor’s license of Bidder which shall be the same type as license required for the Contract; and
2. Contractor’s license of predecessor business which shall also be the same type as the license required for the Contract.

5. SAFETY PROGRAM

- A. Does the entity have a written Injury and Illness Prevention Program (IIPP) that complies with Title 8 of the California Code of Regulations?

YES ☐ NO ☐

- B. Does the entity have a written safety program that meets CAL/OSHA requirements?

YES ☐ NO ☐

- C. Will the entity have personnel permanently assigned and dedicated to Safety on this project?

YES ☐ NO ☐

- D. Is the entity's Experience Modification Rate (EMR) less than 1.0 for each of the past 5 premium years?

YES ☐ NO ☐

Year: _____ EMR: _____

Year: _____ EMR: _____

Year: _____ EMR: _____

Attach verification of EMR from State of California or from insurance company.

- E. The entity HAS NOT had any Cal-OSHA fines in the Serious, Repeat or Willful categories within the past 10 years?

YES ☐ NO ☐

6. QUALITY CONTROL/QUALITY ASSURANCE PROGRAM (QC/QA)

- A. Does the entity have a written quality control/quality assurance program?

YES ☐ NO ☐

If YES, submit a copy of your QC/QA program with this submission.

- B. Will the entity have personnel permanently assigned and dedicated to QC/QA on this project?

YES ☐ NO ☐

7. BUSINESS CONSTRUCTION REVENUE

For the purposes of this Prequalification Questionnaire, "business construction revenue" shall be defined as payments to entity for construction services.

Can you truthfully state that the entity has had annual (gross) business construction revenue of at least \$10,000,000.00 in at least 3 of the past seven (7) years?

YES ☐ NO ☐

8. LIQUIDATED DAMAGES

In the last five years, the entity HAS NOT been assessed liquidated damages of more than \$5000.00 on a construction contract with either a public or private owner?

YES ☐ NO ☐

9. DISCIPLINARY MEASURES HISTORY

Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) has (under its current name or under any other alias) not been disqualified or otherwise barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, school district,) within the last 5 years?

YES ☐ NO ☐

10. FALSE CLAIMS HISTORY

Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) has not been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System,) within the last 10 years?

YES ☐ NO ☐

11. TERMINATION

Can you truthfully state that the entity (nor any member of the entity if a joint venture or partnership) HAS NOT been terminated for cause by an Owner after construction commenced within the last 5 years?

YES ☐ NO ☐

VI. PREQUALIFICATION DECLARATION:

I, _____ (Printed Name),
hereby declare that I am the _____ (Title)
of _____ (Name of Entity)
submitting this Prequalification Questionnaire; that I am duly authorized to sign this
Prequalification Questionnaire on behalf of the above named entity; and that all
information set forth in this Prequalification Questionnaire and all attachments hereto
are, to the best of my knowledge, true, accurate and complete as of its submission date.

The undersigned declares under penalty of perjury that all of the prequalification
information submitted with this form is true and correct and that this declaration was
executed in

_____ (County), _____ (State) on _____ (Date).

(Signature)

(Printed Name)

(Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail - optional)

COMPLETE AND SUBMIT THE FOLLOWING PROJECT DATA SHEET FOR EACH COMPARABLE PROJECT SUBMITTED AS EVIDENCE OF THE ENTITY'S EXPERIENCE. SUBMIT NOT MORE OR LESS THAN THE NUMBER PROJECT DATA SHEETS CORRESPONDING TO THE REQUIRED NUMBER OF COMPARABLE PROJECTS LISTED ABOVE.

EXHIBIT 1 - PROJECT DATA SHEET

CONSTRUCTION EXPERIENCE

(A separate sheet must be prepared for each project submitted.)

1. Project Name: _____
2. Project Location (including full address, if any):

City: _____ State: _____ Zip: _____
3. Project Description: _____
4. Construction Type: _____
5. Size (gross sq. ft.): _____
6. Business name of entity which constructed this project:

7. Did your entity act as a General Contractor during the entire project?
YES ☐ NO ☐
8. Cost at Bid: \$ _____
9. Was construction of the project completed within the last 5 years?
YES ☐ NO ☐
10. Project Owner Name: _____
11. Project Owner Address: _____
City: _____ State: _____ Zip: _____
(Telephone Number) _____ (Facsimile Number) _____
E-mail Address: _____
12. Design Professional (e.g. the name of the Architect or Engineer of record)

Subconsultants (including structural engineer and mechanical engineer, if any):
Structural Engineer
Contact Name: _____ (Telephone Number) _____
Design Professional _____
Contact Title: _____
E-mail Address: _____
Mechanical Engineer
Contact Name: _____ (Telephone Number) _____
Design Professional: _____

Contact Title: _____

E-mail Address: _____

13. Delivery method: Did the project use the delivery method(s) listed in Question V.4(B) (2)?

YES ☐ NO ☐

14. Type of construction information: Did this project include the type(s) of construction work listed below? Check each work type/category that applies to this project.

_____ Fire alarm system throughout the building.

_____ Installation of new fire sprinkler system.

_____ Concealment of new fire sprinkler system to preserve aesthetics of the building.

_____ Extensive replacement of exterior windows or storefronts.

_____ Extensive replacement of floor finishes.

_____ Installation of new fabric wrapped wall paneling or fabric over existing.

_____ Extensive new lighting.

_____ Extensive low voltage data/communications & cable TV wiring.

_____ Extensive painting of interior surfaces.

_____ Extensive replacement of wallpaper.

_____ Removal of existing boilers and installation of new.

_____ New air handlers.

15. Does the listed project satisfy the minimum requirements listed in Question V.4(B)?

YES ☐ NO ☐

16. Did the prospective Bidder assume a significant role in assisting the owner or public entity with the LEED application process?

YES ☐ NO ☐

Project Description (including owner/agency name, project name, accreditation level, project contact):

(Attach additional pages with other pertinent project information as necessary.)