

**University of California,  
Santa Barbara**



Request  
for  
Proposal  
for the:

**San Joaquin Apartments  
and  
Precinct Improvements  
Supplementary Fault  
Investigation**

Project Number:  
986470

Campus Design and  
Facilities

Office of Design and  
Construction Services

September 14, 2011



**University of California, Santa Barbara**  
**San Joaquin Apartments and Precinct Improvements**

Project Number: 986470

**Table of Contents**

Advertisement	3
Program Statement	5
Scope of Services	6
Services Provided by UCSB	7
Location Map	8
Project Schedule	9
Instructions to Applicants	10
Selection Criteria	13
Privacy Notification	16
Statement of Qualifications Form	(See Separate PDF File)
<a href="#"><u>Executive Professional Design Agreement, ver B, (EDPA)</u></a>	
<a href="#"><u>Certificate of Insurance</u></a>	(Also included as an Exhibit to the Agreement)



## **Advertisement**

### **Project Description**

Proposals are hereby solicited from geotechnical professionals interested in providing fault investigation services using cone penetration test (CPT) and possible fault trenching at the proposed San Joaquin housing site. The preliminary fault study was performed by Fugro Consultants dated September 9, 2011, who also performed a prior study in 2002.

### **Scope of Services**

Recommendations for additional study and services are noted on page 10 and 11 of the Fugro's preliminary fault study, September 9, 2011. The University is interested in completing as much investigation needed in order to accurately determine the locations of faults at the Santa Catalina site.

Funding of the project is contingent upon receipt of funding from a variety of sources. While it is anticipated that funding will be obtained, there is no assurance that funds will be received for the project noted. Selection of the design professional will follow standard University procedures.

### **Instructions for Application**

Geotechnical professionals with qualifications that include experience in the investigation of projects similar in scale and scope are encouraged to respond. A copy of the Statement of Qualifications (SOQ) form will be available on our home page: <http://facilities.ucsb.edu> click on Requests For Proposals or call Jill Monthei (805)893-2661 x2211.

4 sets of the Proposal must be returned to the attention of:

Deedee Ciancola, Project Manager, Building 439, Office of Design and Construction,  
University of California, Santa Barbara, CA 93106-1030 no later than 11:00 AM, October 3,  
2011.

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**University of California, Santa Barbara  
San Joaquin Apartments and Precinct Improvements  
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- **Affirmative Action Statement**

Each candidate firm will be required to show evidence of its equal employment opportunity policy. Every effort will be made to ensure that all persons, regardless of race, religion, sex, color, ethnicity and national origin have equal access to contracts and other business opportunities with the University.



## **Program Statement**

The 2006 Campus Housing Study provided an overall concept for the way in which the University's housing needs could be met over time. It called for a series of neighborhoods, each with a mix of housing types and residential amenities to support the creation of a strong sense of community identity. The Santa Catalina site was targeted for an additional 150 units or 600 beds of undergraduate housing, a parking deck to serve the added population and a small number of amenities.

Based on the information provided in the Campus Housing Study, the Long Range Development Plan (LRDP) identified the Santa Catalina area as a primary site for the development of student housing. The LRDP calls for 600 beds on this site. However, in order to provide the 5,000 new student beds across the whole campus (as required by the LRDP), it was felt that the University should explore the optimal carrying capacity of the Santa Catalina site, targeting between 800 and 1,200 beds.

The campus' long term goal is to provide housing opportunities for all freshmen and sophomore students and a four-year residential experience that would diminish the impact on the local community and expand the existing centers of learning and excellence which currently exist.

The University commissioned Urban Design Associates (UDA) to prepare a Capacity Study for the site that would fulfill the goals of both the Campus Housing Study and LRDP and work towards the campus' residential life goals. To do so, UDA prepared alternative conceptual designs, conducted a three-day workshop with faculty, staff and students to test the alternatives and developed architectural imagery describing the character of the new development. That document summarizes in general terms the proposal program components and how the components would be arranged on the site.

Subsequent to development of the site capacity study, the University commissioned an extensive fault and biological study of the site. The results of these studies will require some changes to the site plan UDA proposed. A copy of UDA's San Joaquin Precinct Plan and Site Capacity Study and the Preliminary Fault Study by Fugro Consultants, Inc. are available on our home page: <http://facilities.ucsb.edu> or call Jill Monthei (805)893-2661 x2211 for assistance.



### **Scope of Services**

The professional scope of services will include producing a completed fault study including the areas noted for further investigation in Fugro Consultants' September 9, 2011 report which is posted on our website <http://facilities.ucsb.edu>.



**Services Provided by UCSB**

1. Project management services through our Design and Construction Services Department;
2. Topographic map including below-grade utilities. Critical information contained in these documents will be verified by the campus when requested by the geotechnical professional;
3. Previous geotechnical investigations; fault survey for the selected site;

University of California, Santa Barbara  
San Joaquin Apartments and Precinct Improvements  
Supplementary Fault Investigation  
986470



Project Site Plan







### **Project Schedule**

RFP's Due at UCSB	October 3, 2011
Successful Candidate Notified	October 5, 2011
Contract Negotiation/Execution	October 14, 2011
Fault Investigation	October 24-Nov.4, 2011
Final Fault Investigation Report	November 14, 2011



## Instructions to Applicants

1. Respond concisely to the Selection Criteria and complete the Statement of Qualifications Form. The Statement of Qualifications must be signed by a responsible member of the firm applying for the project.
2. Provide a list of projects of similar scope and complexity, along with team member's roles. Please also address the scheduled duration of the investigation.
3. Your Proposal shall also address the following:

Executive Design Professional Agreement – Note any exceptions to the enclosed Agreement that would prevent your firm from executing the Agreement. We cannot accept any request to include language to limit liability with regards to insurance and/or modify the indemnification clauses. Proposal submittals shall include a separate letter affirming the intent of the proposer's acceptance of terms and conditions contained in the Agreement (see enclosed Agreement). Provide a copy of your current billing rate schedule and proposed consultants.

Certificate of Insurance – Note any exception to the Certificate requirements and provisions that would prevent your firm from executing an Agreement. Proposal submittals shall include a separate letter affirming the intent of the proposer's acceptance of terms and conditions contained in the Certificate of Insurance (see enclosed certificate). Minimum Insurance limits are as follows:

General Liability: Comprehensive or Commercial Form	Amount
Each Occurrence	\$1,000,000
Products/Completed Operations Aggregate	\$1,000,000
Personal and Advertising Injury	\$1,000,000
General Aggregate	\$2,000,000

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Business Automobile Liability	Amount
Each Occurrence	\$1,000,000
Workers' Compensation	as required under California State Law
Professional Liability	Amount
Each Occurrence	\$1,000,000
Project Aggregate	\$2,000,000

Malpractice Claims – List malpractice claims adjudicated within the last 5 years or currently pending. Identify the projects. Provide the same information for your consultant, if one is proposed. List any lawsuits pending with the Regents of the University of California. List past lawsuits with the Regents of the University of California and indicate if a settlement was paid to the University.

Individual Experience – Submit resumes of the responsible Partner, the Principal Engineer and technical consultants focusing on relevant experience of those individuals and university experience (not the collective corporate experience of the firm).

Work Location – Identify any portions of the scope of work that will be performed outside of the office responding to the RFP and the individuals responsible for that work

4. Include supplementary information supporting qualifications (8 ½ x 11 format). Organization and brevity will be appreciated. Work submitted as an example of the design team's qualifications will be considered only to the extent it is similar to the proposed project.
5. Return to: Deedee Ciancola  
Project Manager  
Contracting Services, Facilities Management, Building 439  
Office of Design & Construction Services  
University of California  
Santa Barbara, CA 93106-1030
6. Submit four copies of all material bound into single documents.
7. Due Date: October 3, 2011, no later than 11:00 AM.



## Selection Criteria

1. ***Research potential.*** Capability to undertake appropriate research to resolve problems specific to the needs of the program or project under consideration.
2. ***Program responsiveness.*** Previous experience that demonstrates success in completing projects consistent with program schedule, budget, and technical requirements, and that indicates the ability to complete the proposed project in a manner that is responsive to the specifics of the program.
3. ***Production capability.*** Evidence of ability to perform all the investigations necessary and produce a final fault study document of superior quality.
4. ***Coordination and supervision.*** Evidence of ability to provide experienced staff and timely support during the investigation of the site.
5. ***Proximity.*** to the project location, willingness to establish a local office or an association with a local consulting firm.
6. ***Client relationships.*** Recognition of the consultative processes associated with work on a University Campus.
- 7.. ***Equal Opportunity.*** The commitment of the University to equal opportunity applies to the selection of design professionals.



## Privacy Notification

The state of California Information Practices Act of 1977 requires the University to provide the following information to individuals who are asked to supply information about themselves:

The principal purpose for requesting the information on this form is for use in the selection process for Design Professionals commissioned by the University. University Policy authorizes maintenance of this information.

Furnishing all information requested on this form is mandatory - failure to provide such information will delay or may even prevent completion of the action for which the form is being filled out. Information furnished on this form will be used by the Office of Design & Construction, Facilities Management, University of California at Santa Barbara in consideration of commissions to Design Professionals.

Individuals have the right to access to this record as it pertains to themselves.

The official responsible for maintaining the information contained on this form:

Contracting Services  
Office of Design & Construction Services and  
Physical Facilities  
University of California, Santa Barbara  
Building 439  
Santa Barbara, California 93106-1030