



**SUBCONTRACTOR PREQUALIFICATION
QUESTIONNAIRE (PASS/FAIL)**

**University of California, Santa Barbara
Santa Ynez Apartments 400's Refurbishment
RFQ No. FM170005P**

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I. PREQUALIFICATION QUESTIONNAIRE

1. PROJECT SUMMARY

Subject to conditions prescribed by the University of California, Santa Barbara (“University”), responses to the University's Prequalification Questionnaire are sought from: (i) Cabinet, Millwork & Finish Carpentry (C-6) subcontractors; and (ii) Ceramic & Mosaic Tile (C-54) subcontractors.

The foregoing subtrades must be pre-qualified under the terms of this Subcontractor Prequalification Questionnaire, in order to be allowed to submit subcontractor bids to the prime Bidder (i.e., General Contractor) on the forthcoming Santa Ynez Apartments 400's Refurbishment project. That project is scheduled for bidding in the October 2016 timeframe.

Refurbishment of the Santa Ynez Apartments 400's Refurbishment project will include the renewal of 50 units. The scope of work includes new kitchen/bath cabinetry, countertops, hardware, sinks, lighting, paint, flooring and appliances and ADA accessible upgrades. Further, Woodwork Institute (WI) standards will be made applicable to the finish carpentry work, inclusive of registered certified shop drawings, cabinet fabrications compliance labels and field installation compliance labels.

Estimated Total Construction Cost: \$1,680,000

- Estimated Cost of **Cabinet, Millwork & Finish Carpentry** portion of the Work: \$508,000
- Estimated Cost of **Ceramic & Mosaic Tile** portion of the Work: \$158,000

The anticipated Contract Time is 131 calendar days with a Start Date of January 16, 2017 and a hard Completion Date of May 26, 2017.

Only Cabinet, Millwork & Finish Carpentry AND Ceramic & Mosaic Tile subcontractors meeting the requirements set forth in this subcontractor prequalification Questionnaire will be allowed to participate in the bidding process for the above-referenced project. Any potential subcontractor that is not prequalified by the University will be excluded from submitting a subtrade bid on this project.

Tentative Bidding/Project Schedule:
Advertisement: Early August
Bid Opening: October
NTP Issued: December 2016
Construction Start: Early January 2017
Substantial Completion: May 26, 2017



2. GENERAL INSTRUCTIONS

- A. Each prospective subcontractor must respond to each of the applicable questions listed in the Subcontractor Prequalification Questionnaire and provide the information requested therein. Any subcontractor failing to do so may be deemed 'not prequalified' with respect to this particular project, thereby eliminating that firm from further consideration in the competitive bidding process.
- B. All subcontractors submitting a complete Subcontractor Prequalification Questionnaire will be notified in writing as to whether or not they have successfully been prequalified to remain eligible to participate in the competitive bidding process.
- C. Only those subcontractors that have been prequalified will be eligible to submit a bid to the prime Bidder (i.e., General Contractor) for the subject project. The University cannot, however, guarantee that any of the prime bidders will select a particular subcontractor to participate in the bidding process.
- D. If a prospective subcontractor is determined by the University to be not prequalified, the prospective subcontractor may request a review by the University. Any such request must be received by the University within three (3) calendar days after the prospective subcontractor's receipt of the University's determination.
- E. The decision resulting from such review is final and is not appealable within the University of California. Any person or entity not satisfied with the outcome of the prequalification process described below must file a writ challenging the outcome within ten (10) calendar days from the date of the University's written notice regarding prequalification determination. Any assertion that the outcome of the prequalification process was improper will not be a ground for a bid protest.

All information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THE FORMS PROVIDED HEREIN.

3. LICENSE & CERTIFICATION(S)

- A. Does your firm hold the following California contractor's license(s), which is current, active and in good standing with the California Contractor's State License Board?

License Classification: Ceramic & Mosaic Tile License Code(s): C-54

or

License Classification: Cabinet, Millwork & Finish Carpentry License Code(s): C-6

YES NO

License Number(s): _____

(NOTE -The entity submitting this prequalification questionnaire must be the holder of the requisite license.)

- B. Has your firm's contractor's license has been suspended or revoked by the California Contractor's State License Board within the last five (5) years?

YES NO

- C. Woodwork Institute ("WI") Certification [*Applicable to prospective C-6 subs only.*]

1. Is your firm a WI certified shop that is able to obtain WI inspections, program labels on fabrication shop drawings and final WI installation approval?

YES NO NOT APPLICABLE

4. SAFETY

- A. Does your firm have a written safety program that meets CAL/OSHA requirements?

YES NO

- B. Has your firm had any Cal-OSHA fines in the Serious and Willful categories within the past five (5) years?

YES NO

Note: Failure to answer "Yes" to question "3(A)" and, if applicable, "3(C)(1)" listed above will result in the firm being deemed "not prequalified," thereby eliminating that firm from further consideration in the competitive process. A firm's failure to answer "Yes" to questions "4(A)" and "4(B)" or provide an acceptable explanation for a "No" response, determined at the University's sole discretion, will result in that firm being deemed "not prequalified," thereby eliminating that firm from further consideration in the competitive process.

5. DISCIPLINARY MEASURES HISTORY

Has your firm under its current name or under any other alias been disqualified or otherwise barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, school district,) within the last ten (10) years?

YES NO

6. FALSE CLAIMS HISTORY

Has your firm been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System,) within the last five (5) years?

YES NO

7. LIQUIDATED DAMAGES

In the last five years, has your firm been assessed liquidated damages of more than \$5,000 on a construction contract with either a public or private owner?

YES NO

8. TERMINATION

Has your firm been terminated for cause by an Owner or public agency after construction commenced within the last five (5) years?

YES NO

Note: Failure to answer "No" to questions "5" and "6" will result in that firm being deemed "not prequalified", thereby eliminating it from further consideration in the competitive process. A firm's failure to answer "No" to question "7" and "8", or provide an acceptable explanation for a "Yes" response, determined at the University's sole discretion, will result in that firm being deemed "not prequalified," thereby eliminating it from further consideration in the competitive process.

9. SURETY

A. Is your firm able to obtain both payment and performance bonding in an amount of **\$100,000**?

YES NO

B. Has a surety paid out any monies on claims on the **performance bond** issued by a surety for the benefit of an Owner arising out of the construction activities of the your firm within the last five (5) years?

YES NO

C. Has a surety paid out any monies on claims on the **payment bond** issued by a surety for the benefit of the an owner or your firm's subcontractors, suppliers or materialmen arising out of the construction activities of your firm within the last five (5) years?

YES NO

Note: A firm's failure to answer "YES" to question "8A" above will result in that firm being deemed "not prequalified", thereby eliminating it from further consideration in the competitive process. Further, a firm's failure to answer "No" or, in the University's sole discretion, provide an acceptable explanation for a "Yes" response to questions "8B" and "8C" will result in that firm being deemed "not prequalified", thereby eliminating it from further consideration in the competitive process.

10. CONSTRUCTION EXPERIENCE (COMPARABLE PROJECTS)

Your firm, acting in the role of subcontractor must have successfully completed at least two (2) comparable projects within the last five (5) years. Each project must be described on the attached Project Data Sheet (see Attachment 'A').

Each project must meet the criteria listed below.

A. Subject to the above qualifications, a "comparable project" is defined as having EACH of the following characteristics:

1. The project included the exterior and/or interior renovation of a wood frame commercial or residential structure, or equivalent; **AND**
2. The total construction cost for the entire project at the time of bid is at least \$1,000,000; **AND** (if applicable)

Question Nos. 3 & 4 below applies to Cabinet, Millwork & Finish Carpentry (C-6) Contractors Only:

3. The project Work performed by your firm included either WI inspections, program labels on fabrication shop drawings or final WI installation approvals; **AND**
4. The Work involved thick setting bed method of ceramic tile or a similar work scope.

B. An entity wishing to use a predecessor business to satisfy qualification requirements must demonstrate with written information submitted with this Subcontractor Prequalification Questionnaire that it is substantially the same organization as the predecessor business. An entity may meet the requirement of the preceding sentence by demonstrating that the same person is the qualifying individual (under California Contractor's License Law) for:

1. Contractor's license of subcontractor which shall be the same type as license required for the Contract; and
2. Contractor's license of predecessor business which shall also be the same type as the license required for the Contract.

Note: Failure to submit a description of comparable projects on the attached Project Data Sheet (see Attachment 'A') that satisfy the requirements set forth above in question "10A" will result in the Contractor being deemed "not prequalified", thereby eliminating that firm from further consideration in the competitive process.

II. PREQUALIFICATION DECLARATION

I, _____ (Printed Name),
 hereby declare that I am the _____ (Title)
 of _____ (Name of Entity)
 submitting this Subcontractor Prequalification Questionnaire; that I am duly authorized to
 sign this Subcontractor Prequalification Questionnaire on behalf of the above named
 entity; and that all information set forth in this Subcontractor Prequalification
 Questionnaire and all attachments hereto are, to the best of my knowledge, true,
 accurate and complete as of its submission date.

The undersigned declares under penalty of perjury that all of the qualification information
 submitted with this form is true and correct and that this declaration was executed in
 _____ (County), _____ (State) on _____ (Date).

(Signature)

(Printed Name)

(Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail - optional)

COMPLETE AND SUBMIT A PROJECT DATA SHEET FOR EACH COMPARABLE PROJECT.

ATTACHMENT 'A'
PROJECT DATA SHEET

(A separate sheet must be prepared for each project submitted.)

1. Project Name: _____

2. Project Location (including full address, if any):

City: _____ State: _____ Zip: _____

3. Project Description: _____

4. Construction Type: _____

5. Size (gross sq. ft.): _____

6. Business name of General Contractor that constructed this project:

7. Did your firm perform either the **Ceramic & Mosaic Tile (C-54)** or **Cabinet, Millwork & Finish Carpentry (C-6)** portion of the Work on this project?

YES

NO

8. Explain how is this project comparable to the UCSB project to be bid?

_____ [provide separate written detail, if necessary]

9. Cost at Time of Bid: \$ _____

Cost at Final Completion: \$ _____

10. Describe the sources and/or causes of the above differences in costs with reference to the following categories:

Document Problems: \$ _____
Unforeseen Conditions: \$ _____
Owner Generated Scope: \$ _____
Regulatory Agency: \$ _____
Other: \$ _____

Explain Other: _____

11. Was construction of the project completed within the last five (5) years?

YES

NO

Start Date (NTP): _____ Completion Date: _____

12. _____ Contract Time at bid date (Number of calendar days)
_____ Formally adjusted Contract Time (Number of calendar days; if not adjusted, state "Not Applicable")

If completion did not occur within the Contract Time at bid date or within the formally Adjusted Contract Time, then explain the reason(s) for the delay:

13. Were either liquidated damages or actual damages for delay assessed by the Owner on this project?

YES

NO

14. Project Owner Name: _____
Project Owner Address: _____
City: _____ State: _____ Zip: _____
(Telephone Number) _____ (Facsimile Number) _____
E-mail Address-optional: _____

(Attach additional pages with other pertinent project information as necessary.)