REC CEN AQUATICS COMPLEX RENEWAL
PROJECT NO. FM080741L/981560
SECOND REVISED PREQUALIFICATION QUESTIONNAIRE
(REVISED PER ADDENDUM THREE)

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF DESIGN & CONSTRUCTION SERVICES and PHYSICAL FACILITIES
FACILITIES MANAGEMENT, BUILDING 439
SANTA BARBARA, CALIFORNIA 93106-1030
SEPTEMBER 2008
REC CEN AQUATICS COMPLEX RENEWAL
PROJECT NO. FM080741L/981560
SECOND REVISED PREQUALIFICATION QUESTIONNAIRE

Each prospective bidder must have a current and active California contractor's license at the time of the bid opening and must submit this Prequalification Questionnaire with all portions completed, including any required attachments. Submission of an incomplete and/or unclear Prequalification Questionnaire may result in the determination of the prospective Contractor as Non-Prequalified.

The undersigned declares under penalty of perjury that all of the prequalification information submitted with this form is true and correct and that this declaration was executed in

_________________________________________ County, California, on _______________________.

(Date)

SUBMITTED BY:

_________________________________________ (Name and Title) printed or typed

_________________________________________ (Signature)

_________________________________________ (Firm Name)

_________________________________________ (Address)

_________________________________________ (City, State, Zip Code)

_________________________________________ (Telephone Number) (Facsimile Number)

_________________________________________ (E-mail Address)

Each prospective bidder must answer all of the following questions and provide all requested information, when applicable. Any prospective bidder failing to do so may be deemed to be not responsive and not responsible with respect to this prequalification at the sole discretion of the University of California. All information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University of California will maintain its confidentiality to the extent permitted by law. Any prospective bidder found to be not prequalified as a result of the bidder's answers to this Prequalification Questionnaire will receive written response from the University Facility explaining the Facility's decision. If the bidder can refute some of the facts upon which the decision was based, the bidder can request a hearing at the Facility to appeal the decision. The decision of the Facility is final and not appealable within the University of California.

NOTE: Where a time period is given, such as the last five (5 years, the period is to be measured backwards) from the date this prequalification questionnaire is required to be submitted.
1. **LICENSE:**

   a. Does your firm, including any partner if a joint venture, hold the following California contractor's license, which is current and in good standing with the California Contractor's State License Board?

   **A**  
   General Engineering Contractor  
   (License Code)  
   (License Classification)

   **OR**

   **B**  
   General Building Co.  
   (License Code)  
   (License Classification)

   - YES  
   - NO

   If YES, provide the following information about your firm's contractor's license.

   1. Name of license holder exactly as on file with the California Contractor's State License Board.

   ________________________________

   2. License classification:______________________________

   3. License code:______________________________

   4. License number:______________________________

   5. Date issued:______________________________

   6. Expiration date:______________________________

   b. Has your firm’s contractor’s license ever been suspended or revoked by the California Contractor’s State License Board?

   - YES  
   - NO

   c. Has a complaint ever been filed with the California Contractor’s State License Board against your company that required a formal hearing or inquiry?

   - YES  
   - NO

   If YES what was the nature and resolution of that claim:______________________________
If your firm will be self performing the pool work installation complete section 2.

If you have listed a subcontractor for the installation of the pool work then skip section 2, and you are required to complete sections 3 & 4.

2. CONSTRUCTION EXPERIENCE:

Contractor certifies that it meets the qualifications and experience requirements established in Swimming Pool General Conditions, Section 13150. Contractors that self perform pool work must also hold a C-53 License:

1. Contractor has, in the last five (5) years, constructed at least five (5) commercially designed municipal and public-use swimming pools, each of which have incorporated a minimum size of 6,000 square feet of water surface area with a concrete and ceramic tile perimeter overflow gutter and self-modulating balance tank.

   C-53 (License Code)  Swimming Pool Contractor (License Classification)

   [ ] YES   [ ] NO

   If YES, provide the following information about your firm’s contractor’s license.

   2. Name of license holder exactly as on file with the California Contractor’s State License Board.

   ________________________________________________________________

   3. License classification:_________________________________________

   4. License code:_________________________________________________

   5. License number:_______________________________________________

   6. Date issued:___________________________________________________

   7. Expiration date:_______________________________________________

   8. Has your firm’s contractor’s license ever been suspended or revoked by the California Contractor’s State License Board?

   [ ] YES   [ ] NO
9. Has a complaint ever been filed with the California Contractor's State License Board against your company that required a formal hearing or inquiry?

☐ YES  ☐ NO

If YES what was the nature and resolution of that claim:

________________________________________________________________________

Submit Project Data Sheets – Exhibits A – B located at the end of this Prequalification Questionnaire for the following:

Exhibit A: For each project submitted as evidence of your firm’s comparable projects as addressed in No. 2 Construction Experience.

Exhibit B: For all Current Projects for the Regents of the University of California. If none, indicate none (do not leave blank).

Use the Project Data Sheets provided. Make additional copies as required for each project submitted. If you have not done work for the Regents of the University of California, indicate none on the Project Data Sheet (do not leave blank or submit an incomplete package).
If your firm will be self performing the installation, then you are not required to complete sections 3 & 4. If you have listed a subcontractor for the installation, then you are required to complete sections 3 & 4.

I HAVE LISTED A SUBCONTRACTOR UNDER 9.0 ON THE BID FORM WHO WILL BE PERFORMING THE INSTALLATION (please check one of the two boxes below).

☐ YES  (If you checked this box, please have your subcontractor complete sections 3 & 4 of this pre-qualifications packet.

☐ NO  (If you checked this box, go directly to section 5.

3. SUBCONTRACTOR EXPERIENCE:

   a. Provide the following information on the C-53 Subcontractor, if separate from the general contractor:

      1. Name of license holder exactly as on file with the California Contractor’s State License Board.

         __________________________________________________________

      2. License classification: ________________________________________

      3. License code: ________________________________________________

      4. License number: ____________________________________________

      5. Date issued: ________________________________________________

      6. Expiration date: ____________________________________________

   b. Has your firm’s contractor’s license ever been suspended or revoked by the California Contractor’s State License Board?

      ☐ YES  ☐ NO

   c. Has a complaint ever been filed with the California Contractor’s State License Board against your company that required a formal hearing or inquiry?

      ☐ YES  ☐ NO

      If YES what was the nature and resolution of that claim:

      __________________________________________________________
4. **SUB-CONTRACTOR CONSTRUCTION EXPERIENCE:**

Sub-contractor certifies that it meets the qualifications and experience requirements established in Swimming Pool General Conditions, Section 13150:

1. Sub-contractor has, in the last five (5) years, constructed at least five (5) commercially designed municipal and public-use swimming pools, each of which shall have incorporated a minimum size of 6,000 square feet of water surface area with a concrete and ceramic tile perimeter overflow gutter and self-modulating balance tank.

Submit Project Data Sheets – Exhibits A – C located at the end of this Prequalification Questionnaire for the following:

- **Exhibit A:** For each project submitted as evidence of your firm’s construction expertise

- **Exhibit B:** For all Current Projects for the Regents of the University of California. If none, indicate none (do not leave blank).

Use the Project Data Sheets provided. Make additional copies as required for each project submitted. If you have not done work for the Regents of the University of California, indicate none on the Project Data Sheet (do not leave blank or submit an incomplete package).
5. **CONTRACTOR'S COMMENTS:**

The following page is provided for further explanations of the answers to any questions asked in this Prequalification Questionnaire.

*Every effort will be made to ensure that all persons, regardless of race, religion, sex, color, ethnicity and national origin have equal access to contracts and other business opportunities with the University.*
EXHIBIT – A

PROJECT DATA SHEET
(A separate sheet must be prepared for each project submitted)

1. Project Name:_________________________________________________________

2. Project Location:_____________________________________________________

3. Project Description:___________________________________________________

4. Construction Type:____________________________________________________

5. Size (gross square feet):_______________________________________________

6. How is this project comparable to the Rec Cen Aquatics Complex Renewal project?___________________________________________________________

7. Did the project include a commercially designed municipal and public-use swimming pool, that incorporated a minimum size of 6,000 square feet of water surface area with a concrete and ceramic tile perimeter overflow gutter and self-modulating balance tank?

Describe the comparable features:

___________________________________________________________

8. Was the project for a public institution?
   ☐ YES   ☐ NO

9. Did your firm self-perform any of the work?
   ☐ YES   ☐ NO

   If YES, please specify the trades you self-performed or have the capability to self perform:

   _______________________________________________________________

   _______________________________________________________________

10. Client’s Project Team and References:

   a. Client Firm Name:_______________________________________________

   b. Client Contact:________________________________Title:____________________

   c. Client Address:_____________________________________________________

   d. Client City:________________________State & Zip Code:____________________

   e. Client Telephone:________________________Fax:_________________________
UNIVERSITY OF CALIFORNIA, SANTA BARBARA

f. Client E-mail Address: ________________________________

g. Client’s Project Manager: ________________________________

h. Client’s Project Superintendent: ____________________________

i. Design Professional (A&E) Firm Name: ________________________

j. A&E Contact Name: ________________________________

k. A&E Telephone: ___________________________ Fax: __________________

l. A&E E-mail Address: ________________________________

(Attach additional pages with other pertinent information as necessary)
EXHIBIT B

CURRENT PROJECTS FOR THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Submit the following Current Projects for The Regents of the University of California Project Data Sheet for each current project (regardless of project delivery stage) between your firm and The Regents of the University of California. If none, indicate none (do not leave blank).

PROJECT DATA SHEET

(A separate sheet must be prepared for each project submitted)

1. Project Name: ________________________________

2. Project Location (Campus): ________________________________

3. Project Description: ________________________________

4. Construction Type: ________________________________

5. Size (gross square feet): ________________________________

6. Is this project comparable to the Rec Cen Aquatics Complex Renewal project?
   □ YES   □ NO
   If YES, explain: _____________________________________________________________________________

7. Current Project status: ________________________________

8. Anticipated Completion Date: ________________________________

9. Description of Firm’s Performance on Project thus far:
   a. With respect to time: ________________________________
   b. With respect to budget: ________________________________
   c. For any differing amount between cost to date and cost at bid, distribute the sources and/or causes of these changes into the following categories:

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Document Problems</td>
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<tr>
<td>Unforeseen Conditions</td>
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<td>Owner Generated Scope</td>
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<tr>
<td>Regulatory Agency</td>
<td>$</td>
</tr>
<tr>
<td>Other</td>
<td>$</td>
</tr>
</tbody>
</table>

10. Business name of entity that is performing this project: __________________________________________

11. If the entity submitting this prequalification questionnaire is a Joint Venture, is the Joint Venture entity itself performing this project?
   □ YES         □ NO         □ Not Applicable
12. UC Client Information

a. UC Client Contact:_________________________ Title:_________________________

b. Client Telephone:_________________________ Fax:_________________________

c. Client E-mail Address:_________________________

d. Design Professional (A&E) Firm Name:_________________________

e. A&E Contact Name:_________________________

f. A&E Telephone:_________________________ Fax:_________________________

g. A&E E-mail Address:_________________________

(Attach additional pages with other pertinent information as necessary)